<table>
<thead>
<tr>
<th>SECTION</th>
<th>PAGE NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>Background</td>
<td>2</td>
</tr>
<tr>
<td>Policy</td>
<td>2</td>
</tr>
<tr>
<td>519.23.1 Member Eligibility</td>
<td>2</td>
</tr>
<tr>
<td>519.23.2 Medical Necessity</td>
<td>2</td>
</tr>
<tr>
<td>519.23.3 Provider Enrollment</td>
<td>3</td>
</tr>
<tr>
<td>519.23.4 Provider Qualifications And Participation Requirements</td>
<td>3</td>
</tr>
<tr>
<td>519.23.5 Methods of Verifying Bureau for Medical Services Requirements</td>
<td>4</td>
</tr>
<tr>
<td>519.23.5.1 Administrative Services</td>
<td>4</td>
</tr>
<tr>
<td>519.23.6 Prior Authorization</td>
<td>5</td>
</tr>
<tr>
<td>519.23.7 Billing Procedures</td>
<td>5</td>
</tr>
<tr>
<td>519.23.8 Assessment Services</td>
<td>5</td>
</tr>
<tr>
<td>519.23.9 Behavior Identification Assessment</td>
<td>6</td>
</tr>
<tr>
<td>519.23.9.1 Adaptive Behavior Treatment</td>
<td>7</td>
</tr>
<tr>
<td>519.23.10 Skills Training and Development</td>
<td>8</td>
</tr>
<tr>
<td>519.23.10.1 ABA – Group Skills Training and Development</td>
<td>8</td>
</tr>
<tr>
<td>519.23.10.2 End of Participation</td>
<td>9</td>
</tr>
<tr>
<td>Glossary</td>
<td>9</td>
</tr>
<tr>
<td>Change Log</td>
<td>12</td>
</tr>
</tbody>
</table>

**DISCLAIMER:** This chapter does not address all the complexities of Medicaid policies and procedures and must be supplemented with all State and Federal Laws and Regulations. Contact BMS Fiscal Agent for coverage, prior authorization requirements, service limitations, and other practitioner information.
BACKGROUND

Applied Behavior Analysis (ABA) is the application of the principles, methods, and procedures of the experimental analysis of behavior and applied behavior analysis (including principles of operants and respondent learning) to assess and improve socially important human behaviors. Many scores of ABA intervention procedures have proved effective for building functional skills and reducing challenge behaviors in many member populations. Professional practitioners of ABA engage in activities such as conducting assessments, analyzing data, writing and revising behavior analytic treatment plans (i.e. plans based on collected data), training others to implement components of a behavior change treatment plans, and overseeing the implementation of those plans. All ABA interventions are individualized to each client’s strengths, needs, and preferences. The goal of these plans is to bring about improvement for the client by addressing issues such as skill deficits (for example, in communication, self-care and other adaptive behaviors), as well as problem behaviors (such as aggression toward others or self-injurious behaviors, among others).

ABA services involve highly specific, individualized interventions based on results of interviews with caregivers, functional assessments, direct observation and measurement of behaviors in everyday environments, data collection, and interaction with clients. Of necessity, some interventions involve substantial amounts of one-to-one, face-to-face interaction with the member, caregivers and others who implement intervention procedures. This is in keeping with provisions of the Behavior Analyst Certification Board’s Professional and Ethical Compliance Code for Behavior Analysts.

POLICY

519.23.1 Member Eligibility

ABA services are available to Medicaid members ages 18 months through age 20 with a primary diagnosis of Autism Spectrum Disorder (ASD) prior to their eighth birthday who are referred for necessary diagnostic and treatment services identified during an Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) encounter with a health professional practicing within the scope of his or her practice and who provides medically necessary health care, diagnosis, or treatment to determine the existence of a suspected illness or condition, or a change or complication to a pre-existing condition.

519.23.2 Medical Necessity

Medical necessity is a determination through a prior authorization (PA) review process to assure the appropriateness and quality of the ABA services plan – both at the initiation of services and to assure medical necessity is maintained through ongoing clinical progress reviews. During these review periods, the implemented treatment plan is reviewed. Documentation of treatment progress is shown through measurement and data analysis reflecting the behavior change plan’s goals and objectives. Indicators of behavior change as a result of approved services are expected to be submitted.

Medical necessity is supported by, but not necessarily limited to, these factors:

- The first time a PA is requested to provide ABA services for a member, the provider must submit documentation indicating that the primary diagnosis was rendered prior to the child’s eighth year of age.
• Services do not supplant or duplicate those provided by educational authorities or other funding sources. Services that are educational in nature cannot be authorized.

• A current diagnostic assessment was conducted within the previous 24 months by a qualified diagnostic provider. If the initial qualifying diagnostic assessment is older than 24 months as determined by a qualified Diagnostic provider (licensed physician such as a neurologist, pediatric neurologist, developmental pediatrician, psychiatrist, supervising psychologist, or a licensed psychologist, licensed independent social worker, licensed independent counselor) and should include documentation that the following criteria from the current Diagnostic and Statistical Manual (DSM) are met:
  o Current International Classification of Diseases (ICD)/DSM diagnosis of ASD.
  o Indication of Diagnostic Severity Level including level of communication and restricted repetitive behaviors.
  o Specifiers of the ASD Diagnosis, including underlying medical causes, if identified, such as:
    • Example: With or without accompanying intellectual impairment
    • Example: Associated with a known medical, neurodevelopmental, or genetic condition, environmental factor (using additional coding to identify the associated medical or another neurodevelopmental, mental, or behavior disorder);

• ABA service intensity levels that are appropriate to the assessed level of functioning and behaviors prioritized for change or intervention;
  • Baseline data on adaptive and maladaptive behaviors and clinical observation of the measure(s) relating to the prioritized plan behaviors, and service requests that meet best practice standards as described in the Behavior Analyst Certification Board’s ASD treatment guidelines.

• Description of available natural supports, including supports through involved individuals such as parents, guardians, other caretakers, educational staff; or other non-duplicating services available through an Individual Educational Plan (IEP).

519.23.3 Provider Enrollment

In order to participate in the West Virginia Medicaid Program and receive payment from the West Virginia Bureau for Medical Services (BMS), providers must meet all enrollment criteria as described in Chapter 300, Provider Participation Requirements of the BMS Provider Manual.

519.23.4 Provider Qualifications and Participation Requirements

West Virginia Medicaid recognizes the following as qualified to perform ABA services when their certification by the Behavior Analyst Certification Board is current and in good standing, and they have met participation enrollment requirements:

• Board Certified Behavior Analyst (BCBA), for the purposes of this policy and provider enrollment criteria this includes both Master and BCBA-D Doctoral levels;
• Board Certified Assistant Behavior Analyst (BCaBA) working under the supervision of a BCBA or who meets Behavior Analyst Certification Board supervisor requirements; and
• Registered Behavior Technician (RBT) working under the supervision of a BCBA or BCaBA who meets the Behavior Analyst Certification Board supervisor requirements.
519.23 Methods of Verifying Bureau for Medical Services Requirements

Enrollment requirements, as well as provision of services, are subject to review by BMS and/or its contracted agents. BMS’s contracted agents may promulgate and update utilization management (UM) guidelines that have been reviewed and approved by BMS. These approved guidelines function as policy. Additional information governing the surveillance and utilization control program may be found in Chapter 100, General Administration and Information of the BMS Provider Manual and are subject to review by state and federal auditors.

519.23.5.1 Administrative Services

The provider must assure implementation of BMS’ policies and procedures pertaining to service planning, documentation, and case record review including, but not limited to:

- Uniform guidelines for case record organization must be used by staff, so that similar information will be found in the same place from case record to case record and can be quickly and easily accessed. If not readily accessible, this could be cause for dis-allowment.
- Copies of completed release of information forms and consent forms must be filed in the case record.
- Copied or boilerplate language in documentation will not be reviewed and will cause disallowment.
- Records must contain completed member identifying information. The member’s individual plan of service must contain service goals and objectives which are derived from a comprehensive member assessment and must stipulate the planned service activities and how they will assist in goal attainment. Discharge reports must be filed upon case closure.
- Records must be legible. Illegible documentation will result in dis-allowment.
- Prior to the retrospective review, all records requested must be presented to the reviewers completing the retrospective review.
- If requested, the providers must provide copies of Medicaid members’ records within one business day of the request.
- Providers must facilitate the records access that is requested as well as equipment that may need to be utilized to complete the Comprehensive Retrospective Review process.
- A point of contact must be provided by the provider throughout the Comprehensive Retrospective Review process.
- Providers must comply with the documentation and maintenance of records requirements described in Chapter 100, General Information and Chapter 300, Provider Participation Requirements of the Provider Manual.
- Documentation of the services provided in this manual must demonstrate only one staff person’s time is billed for any specific activity provided to the member.
- Reimbursement is not available for a telephone conversation, electronic mail message (e-mail), or facsimile transmission (fax) between a provider and a member.
- Medicaid will reimburse according to the fee schedule for services provided.
- Services provided via Telehealth must align with requirements in Section 519.17, Telehealth Services.
519.23.6 Prior Authorization

PA requirements governing the provision of all West Virginia Medicaid services apply pursuant to Chapter 300, Provider Participation Requirements of the Provider Manual. PA for all ABA services requests must be made prior to any service being rendered and must include:

- Submission of the qualifying diagnostic assessment establishing the ASD diagnosis prior to age eight and completed within the previous 24 months;
- A comprehensive diagnostic assessment completed by a qualifying provider within the previous 24 months;
- The annual physician’s order for ABA Services to be submitted with all other requirements;
- A copy of the Consent to Release Information and Bill Medicaid form or the “Statement of Assurances.” In order to ensure continuity of care and to avoid duplication of services, the Utilization Management Vendor or appropriate Managed Care Organization (MCO) may request a copy of the Consent to Release Information and Bill Medicaid form — the IEP documentation (or if the client is homeschooled, a copy of the parent and Department of Education agreement letter), if necessary, for a pre-authorization determination regardless of submission of the “Statement of Assurance.”
- Annually, a functional assessment of adaptive skills.

The following are not allowed:

- If a provider fails to obtain prior authorization or the request is denied for medical necessity, the provider cannot hold (or balance bill) the member and/or guardian responsible for private payment of services rendered during that time period;
- Back-dating of authorizations is NOT allowed and “retrospective review requests” will be denied;
- Requests for services received from parents/guardians are not accepted; and
- Family members or any other non-credentialed individual providing ABA services to the member is NOT a covered benefit.

519.23.7 Billing Procedures

Claims from providers must be submitted on the BMS designated form or electronically transmitted to the BMS fiscal agent and must include all information required by BMS to process the claim for timely filing a payment.

519.23.8 Assessment Services

Assessment services are designed to assist with determinations concerning the mental, physical, and functional status of the member. Members identified as being in the foster care system should receive assessment as quickly as possible.

Procedure Code: H0031
Service Unit: 1 Assessment = 1 Event
Level of Service: Face-to-Face 1:1 Service
Prior Authorization: Required, Refer to Utilization Management Guidelines
Service Limits: Maximum of one per year per member
Staff Credentials: Staff must be Board Certified Behavior Analyst (BCBA), or Board Certified assistant Behavior Analyst (BCaBA) as defined in 519.23.4 Provider Qualifications and Participation Requirements.

Definition: Functioning Assessment by Non-Physician is an initial evaluation or reassessment to determine the needs, strengths, functioning level(s), mental status, and/or social history of a member. The administration and scoring of functional assessment instruments necessary to determine medical necessity and level of care are included in this service as defined in Chapter 503, Licensed Behavioral Health Center Services.

519.23.9 Behavior Identification Assessment

Procedure Code: 97151
Service Unit: 15 minutes
Level of Service: Face-to-Face 1:1 Service
Prior Authorization: Required, Refer to Utilization Management Guidelines.
Service Limits: Code may be used in combination with 97152, 97153, 97154, 97155, 97156, and 97158 of up to a maximum of 40 hours per week and/or eight hours within a 24-hour period. The service week is defined as Sunday 12:00 am through Saturday 11:59 pm.

Staff Credentials: Staff must be a Board Certified Behavior Analyst (BCBA) or Board Certified assistant Behavior Analyst (BCaBA) as defined in 519.23.4 Provider Qualifications and Participation Requirements.

Definition: The BCBA or BCaBA spends face-to-face time assessing the member to identify any impaired social skills, communication deficits, destructive behaviors, and any additional functional limitations resulting from noted maladaptive behaviors. This service includes obtaining a detailed history relative to the member's behavior, observation of behaviors, administration of standardized and non-standardized testing, focused interviews with the primary guardian or caregiver, and non-face-to-face time reviewing and analyzing the information, scoring/interpreting test results, and creating a treatment plan and report. The treatment plan may include recommendations for further observational or exposure behavioral follow-up assessments and discussions, including recommendations, with the primary guardian or caregiver.

Procedure Code: 97152
Service Unit: 15 minutes
Level of Service: Face-to-Face 1:1 Service
Prior Authorization: Required, Refer to Utilization Management Guidelines.
Service Limits: Code may be used in combination with 97151, 97153, 97154, 97155, 97156, and 97158 of up to a maximum of 40 hours per week and/or eight hours within a 24-hour period. The service week is defined as Sunday 12:00 am through Saturday 11:59 pm.

Staff Credentials: Direct contact with member is made by an RBT under supervision of the BCBA or BCaBA as defined in Section 519.23.4 Provider Qualifications and Participation Requirements.

Definition: An RBT administers a behavior identification supporting assessment of a member with deficient adaptive and maladaptive behaviors or recurring actions or issues related to these behaviors.
such as communication or social interactions. The technician spends face-to-face time with the member conducting the assessment, which includes exposure of the member to a number of social and environmental elements associated with the maladaptive behaviors. Evaluation targeting certain adaptive and maladaptive behaviors includes assessing triggers, events, cues, responses, and consequences associated with the deficient behavior. This code describes assessing and analyzing functional behavior and includes other structured observations, the use of standardized and non-standardized instruments, and procedures that will assist the clinician in establishing the degree of adaptive and maladaptive behaviors or impairments of the member.

519.23.9.1 Adaptive Behavior Treatment

Comprehensive services are all-inclusive and may have only a few services which can be billed separately.

**Procedure Code:** 97155  
**Service Unit:** 15 minutes  
**Level of Service:** Face-to-Face 1:1 Service  
**Prior Authorization:** Required, Refer to Utilization Management Guidelines.  
**Service Limits:** Code may be used in combination with 97151, 97152, 97153, 97154, 97156, and 97158 of up to a maximum of 40 hours per week and/or eight hours within a 24-hour period. The service week is defined as Sunday 12:00 am thru Saturday 11:59 pm.

**Staff Credentials:** Staff must be a BCBA/BCaBA as defined in 519.23.4 Provider Qualifications and Participation Requirements.

**Definition:** The BCBA or BCaBA conducts a face-to-face behavior therapy session to a single member with destructive behavioral concerns, such as harming oneself, damaging property, and aggression or behaviors resulting from recurring actions or issues related to communication or social interactions. During the encounter, the provider solves at least one problem with the protocol and may, at the same time, coach a technician or assistant behavior analyst, guardian, and/or caregiver in how to oversee the treatment procedures (modified protocol). The member must be present during the session, including instructions provided to the technician and/or caregiver.

**Procedure Code:** 97156  
**Service Unit:** 15 minutes  
**Level of Service:** Face-to-Face 1:1 Service  
**Prior Authorization:** Required, Refer to Utilization Management Guidelines.  
**Service Limits:** Code may be used in combination with 97151, 97152, 97153, 97154, 97155, and 97158 of up to a maximum of 40 hours per week and/or eight hours within a 24-hour period. The service week is defined as Sunday 12:00 am thru Saturday 11:59 pm.

**Staff Credentials:** Staff must be BCBA or BCaBA as defined in 519.23.4 Provider Qualifications and Participation Requirements.

**Definition:** The BCBA or BCaBA conducts a face-to-face family behavior therapy session for a single member's guardian and/or caregiver; the member may or may not be present. During the encounter, the
provider helps the guardian and/or caregiver learn how to identify behavioral problems and how to implement treatment strategies to minimize destructive behavioral concerns.

**Procedure Code:** 97153  
**Service Unit:** 15 minutes  
**Level of Service:** Face-to-Face 1:1 Service  
**Prior Authorization:** Required. Refer to Utilization Management Guidelines.  
**Service Limits:** Code may be used in combination with 97151, 97152, 97154, 97155, 97156, and 97158 of up to a maximum of 40 hours per week and/or eight hours within a 24-hour period. The service week is defined as Sunday 12:00 am through Saturday 11:59 pm.

**Staff Credentials:** Direct contact with member is made by an RBT under supervision of the BCBA or BCaBA as defined in Section 519.23.4 Provider Qualifications and Participation Requirements. The BCBA or BCaBA may bill for this service.

**Definition:** An RBT (Registered Behavior Technician) conducts a face-to-face behavior therapy session to a single member with destructive behavioral concerns, such as harming oneself, damaging property, and aggression or behaviors resulting from recurring actions or issues related to communication or social interactions.

### 519.23.10 Skills Training and Development

The purpose of this service is to provide therapeutic activities focused on skills training and development services that are elementary, basic, and fundamental to higher-level skills and are designed to improve or preserve a member’s level of functioning. Therapeutic activities may be provided to a member in his/her natural environment through a structured program as identified in the goals and objectives described in the ABA service plan.

Where these services are provided in a group context, the group must be limited to four members to each staff person. In any setting, these services target members who require direct prompting or direct intervention by a provider.

#### 519.23.10.1 ABA – Group Skills Training and Development

**Procedure Code:** 97158  
**Service Unit:** 15 minutes  
**Level of Service:** Face-to-Face 1:2-4 Service  
**Prior Authorization:** Required. Refer to Utilization Management Guidelines.  
**Service Limits:** Code may be used in combination with 97151, 97152, 97153, 97154, 97155, and 97156 of up to a maximum of 40 hours per week and/or eight hours within a 24-hour period. The service week is defined as Sunday 12:00 am through Saturday 11:59 pm.

**Staff Credentials:** Staff must be a BCBA or BCaBA as defined in Section 519.23.4 Provider Qualifications and Participation Requirements.
CHAPTER 519.23 APPLIED BEHAVIOR ANALYSIS

**Definition:** The BCBA or BCaBA conducts a face-to-face group session to assist members in improving social skills through practice, corrective feedback, and homework assignments; focusing in on individual social or behavioral issues. The provider oversees individual needs and makes appropriate adjustments for the group as necessary in real time. This code is reported for groups consisting of no more than eight members regardless of payer source.

**Procedure Code:** 97154  
**Service Unit:** 15 minutes  
**Level of Service:** Face-to-Face 1:2:4 Service  
**Prior Authorization:** Required. Refer to Utilization Management Guidelines.  
**Service Limits:** Code may be used in combination with 97151, 97152, 97153, 97155, 97156, and 97158 of up to a maximum of 40 hours per week and/or eight hours within a 24-hour period. The service week is defined as Sunday 12:00 am through Saturday 11:59 pm.

**Staff Credentials:** Direct contact with member is made by an RBT under supervision of the BCBA or BCaBA as defined in Section 519.23.4 Provider Qualifications and Participation Requirements. The BCBA or BCaBA may bill for this service.

**Definition:** An RBT conducts a face-to-face behavior therapy session to more than one, but no more than eight members with destructive behavioral concerns, such as harming oneself, damaging property, and aggression or behaviors resulting from recurring actions or issues related to communication or social interactions.

**519.23.10.2 End of Participation**

Any of the following criteria are sufficient for discharge from this level of care:

- A Member’s individual treatment plan and goals have been met;
- The individual has achieved adequate stabilization of the challenging behavior and less-intensive modes of treatment are appropriate and indicated;
- Treatment is making the symptoms persistently worse; or
- The individual is not making progress toward treatment goals, as demonstrated by the absence of any documented meaningful (i.e., durable and generalized) measurable improvement or stabilization of challenging behavior and there is no reasonable expectation of progress.

**GLOSSARY**

Definitions in *Chapter 200, Definitions and Acronyms* apply to all West Virginia Medicaid services, including those covered by this chapter. Definitions in this glossary are specific to this chapter.

**Autism Spectrum Disorder (ASD):** A developmental disability that can cause significant social, communication and behavioral challenges. People with ASD may communicate, interact, behave, and learn in ways that are different from most other people. The learning, thinking, and problem-solving abilities of people with ASD can range from gifted to severely challenged. Some people with ASD need a lot of help in their daily lives; others need less.
Applied Behavior Analysis (ABA): The practice of ABA is defined as the application of the principles, methods, and procedures of the experimental analysis of behavior and applied behavior analysis (including principles of operant and respondent learning) to assess and improve socially important human behaviors. It includes, but is not limited to, applications of those principles, methods, and procedures to (a) the design, implementation, evaluation, and modification of treatment programs to change behavior of individuals; (b) the design, implementation, evaluation, and modification of treatment programs to change behavior of groups; and (c) consultation to individuals and organizations. The practice of behavior analysis expressly excludes psychological testing, neuropsychology, psychotherapy, cognitive therapy, sex therapy, psychoanalysis, hypnotherapy, and long-term counseling as treatment modalities.

Behavior Analysis Certification Board: A nonprofit 501(c)(3) corporation established in 1998 to meet professional credentialing needs identified by behavior analysts, governments, and consumers of behavior analysis services.

Board Certified Assistant Behavior Analyst (BCaBA): As certified by the Behavior Analyst Certification Board (BACB), the BCaBA designs and oversees interventions but may need technical direction from a Board Certified Behavior Analyst (BCBA) for unfamiliar situations. The BCaBA is able to teach others (i.e. non-billable participants, such as parents, family members, etc.) to carry out interventions once competency with the procedures involved has been established under the direct supervision of the BCBA. The BCaBA may assist the BCBA with the design and delivery of introductory level instruction in behavior analysis. It is mandatory that each BCaBA practice under the supervision of a BCBA or BCBA-D who meets BACB supervisor requirements. WV Medicaid will follow the Behavior Analyst Certifying Board (BACB) Professional and Ethical Compliance Code for Behavior Analysts, which can be found at https://www.bacb.com/ethics/ethics-code/ Competency and supervision must be verified annually and submitted to supervisor.

Board Certified Behavior Analyst (BCBA): As certified by the Behavior Analyst Certification Board (BACB), the BCBA is an independent practitioner who conducts behavioral assessments, including functional analyses, and provides behavioral analytic interpretations of the results. The BCBA designs and supervises behavioral analytic interventions and plans. BCBA supervises the work of BCaBAs, RBTs, and others who implement behavior analytic interventions. WV Medicaid will follow the Behavior Analyst Certifying Board (BACB) Professional and Ethical Compliance Code for Behavior Analysts, which can be found at https://www.bacb.com/ethics/ethics-code/ For the purposes of this policy and provider enrollment criteria, BCBA includes both Master level BCBA and Doctoral level BCBA-D.

Childhood Disintegrative Disorder: The central feature of Childhood Disintegrative Disorder is a marked regression in multiple areas of functioning following a period of at least two years of apparently normal development. After the first two years of life, the child has a clinically significant loss of previously acquired skills in at least two of the following areas: expressive or receptive language; social skills or adaptive behavior; bowel or bladder control; or play or motor skills. Individuals with this disorder exhibit the social and communicative deficits and behavioral features generally observed in Autistic Disorder, as there is qualitative impairment in social interaction, communication, and restrictive, repetitive and stereotyped patterns of behavior, interests, and activities.

Comprehensive Treatment Plan (CTP): A plan of treatment approved by a licensed physician or psychologist working with, or reviewing with, an interdisciplinary team designated with overall responsibility for treatment services a child with ASD receives, including but not limited to pharmaceutical
treatments; all therapies: speech, PT, OT, ABA plans and services; physician or psychological treatments or other interventions, including those provided in any other context (e.g. educational, privately-funded) whether or not they are covered benefits under the member's plan as long as their intent is to improve function or significantly improve social behavior or skills.

**Diagnostic Assessment:** Medically necessary assessments, evaluations or tests performed by a licensed physician such as; a neurologist, pediatric neurologist, developmental pediatrician, psychiatrist; or a licensed psychologist to diagnose whether an individual has an autism spectrum disorder.

**Diagnostic and Statistical Manual of Mental Disorders (DSM):** The reference manual used by psychiatrists, therapists, and mental health specialists in the diagnosis, treatment and management of all forms of mental disorders.

**Evidence-based:** Refers to efficacy established through peer-reviewed research. Level 1 evidence is required (that is, evidence obtained from at least one properly designed randomized controlled trial) as defined by the U.S. Preventive Services Task Force for ranking evidence about the effectiveness of treatments or screening.

**Functional Assessment:** A formal assessment that identifies specific target behavior, the purpose of the behavior, and what factors maintaining the behavior that is interfering with a member’s familial, social or educational domain or adaptive functioning/life skills.

**Individual Education Plan (IEP):** The legal document that defines an individual’s special education program and includes the disability under which the individual qualifies for Special Education Services, the services the school will provide, the individual’s yearly goals and objectives and any accommodations that must be made to assist in the individual’s learning.

**Individual Program Plan (IPP):** The required document outlining activities that primarily focus on the establishment of a potentially life-long, person-centered, goal-oriented process for coordinating the range of services, instruction and assistance needed by members. It is designed to ensure accessibility, accountability, and continuity of support and services. The content of the IPP must be guided by the member’s needs, wishes, desires and goals but based on the member's assessed needs.

**Objective Evidence:** Results of standardized member assessment instruments with determined outcome measurements tools or measurable assessments including data from direct observation and measurement of behavior using standard ABA methods.

**Psychiatric Care:** Face-to-face direct or consultative services provided by a physician who is board certified in psychiatry.

**Psychological Care:** Face-to-face direct or consultative services provided by a licensed psychologist.

**Registered Behavior Technician (RBT):** A paraprofessional who holds the Registered Behavior Technician credential issued by the Behavior Analyst Certification Board and practices under the close, ongoing supervision of a BCBA, BCBA-D or Bcba who meets BACB supervisor requirements. The RBT is primarily responsible for the direct implementation of behavior-analytic services. The RBT does not design intervention or assessment plans. It is the responsibility of the RBT supervisor to determine which
tasks an RBT may perform as a function of his or her training, experience, and competence. The BACB certificant supervising the RBT is responsible for the work performed by the RBT on the cases they are overseeing.

**Rehabilitative Care:** Professional services and treatment programs, including applied behavior analysis, provided by a certified autism service provider to produce socially significant improvements in human behavior or to prevent loss of attained skill or function.

**Statement of Assurances:** Statement that the services provided do not duplicate services already provided to the member.

**Treatment Plan/Intervention Service Plan:** A written document plan identifying a treatment or intervention developed pursuant to a comprehensive evaluation or reevaluation performed in a manner consistent with the most recent clinical report or recommendations of the American Academy of Pediatrics.

### CHANGE LOG

<table>
<thead>
<tr>
<th>REPLACE</th>
<th>TITLE</th>
<th>EFFECTIVE DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>New Chapter</td>
<td>519.23 Applied Behavior Analysis</td>
<td>September 1, 2018</td>
</tr>
<tr>
<td>Entire Chapter</td>
<td>Revisions made throughout policy. Added Section 519.23.1 Administrative Services. Revised specific code sections in 519.23.8. Clarified policy and provider enrollment criteria for BCBA and BCBA-D.</td>
<td>August 22, 2019</td>
</tr>
<tr>
<td>Entire Chapter</td>
<td>Revised codes throughout Chapter to match those used by other payers.</td>
<td>April 1, 2020</td>
</tr>
</tbody>
</table>

**DISCLAIMER:** This chapter does not address all the complexities of Medicaid policies and procedures and must be supplemented with all State and Federal Laws and Regulations. Contact BMS Fiscal Agent for coverage, prior authorization requirements, service limitations, and other practitioner information.