State Transition Plan Data Analysis 2019

January 31, 2020

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Notes:

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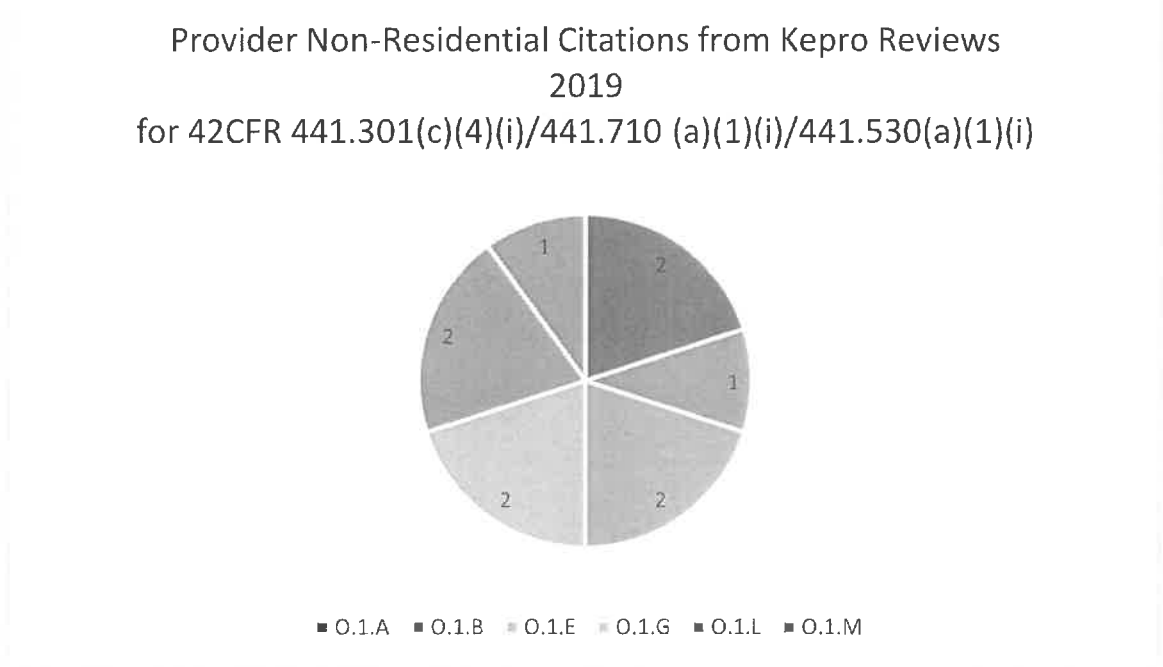
-Appendix A includes a list of tag numbers cited (O.1.A et al) and their descriptors.

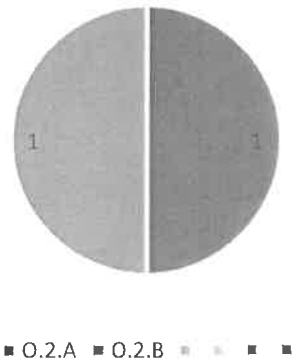
Non-Residential N=61

Review Citation	Number of Providers Cited	% of Noncompliance
O.1.A	2	3% (.0327)
O.1.B	1	2% (.0163)
O.1.E	2	3% (.0327)

O.1.G	2	3% (.0327)
O.1.L	2	3% (.0327)
O.1.M	1	2% (.0163)
O.2.A	1	2% (.0163)
O.2.B	1	2% (.0163)
O.3.E	1	2% (.0163)
O.4.A	4	7% (.0655)
O.4.B	1	2% (.0163)
O.4.F	1	2% (.0163)



Provider Non-Residential Citations from Kepro Reviews
2018
for 42CFR 441.301(c)(4)(ii)/441.710
(a)(1)(ii)/441.530(a)(1)(ii)



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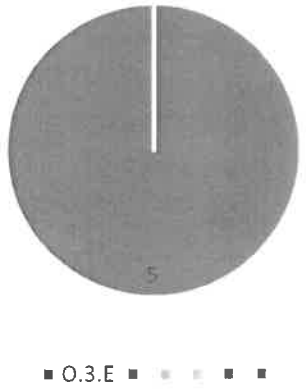

Provider Non-Residential Citations from First Kepro Reviews
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for 42CFR 441.301(c)(4)(iv)/441.710
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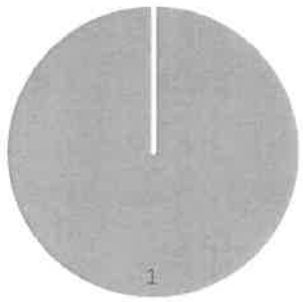

O.4.F

Provider Non-Residential Citations from Kepro Reviews
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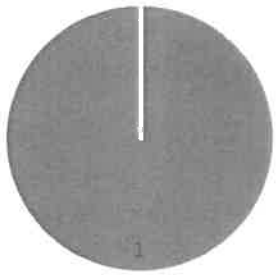

O.5.A

Residential N=51

Review Citation	Number of Providers Cited	% of Providers
O.1.H	1	2% (.019)
O.2.E.i	2	4% (.039)
O.2.F.ii	2	4% (.039)
O.2.G.i	1	2% (.019)
O.2.G.iii	1	2% (.019)
O.3.A.ii	1	2% (.019)
O.3.A.iii	1	2% (.019)
R.1.A.iii	1	2% (.019)

Provider Residential Citations from Kepro Reviews 2019
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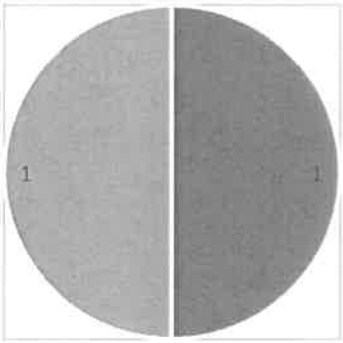
42CFR441.301(c)(4)(i)/441/710(a)91)(i)/441/530(a)91)(i)



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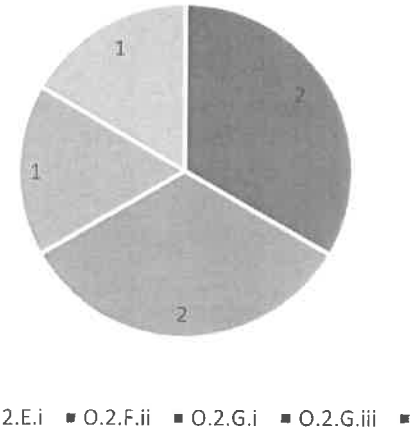

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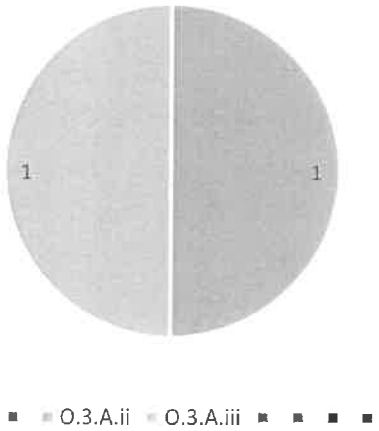

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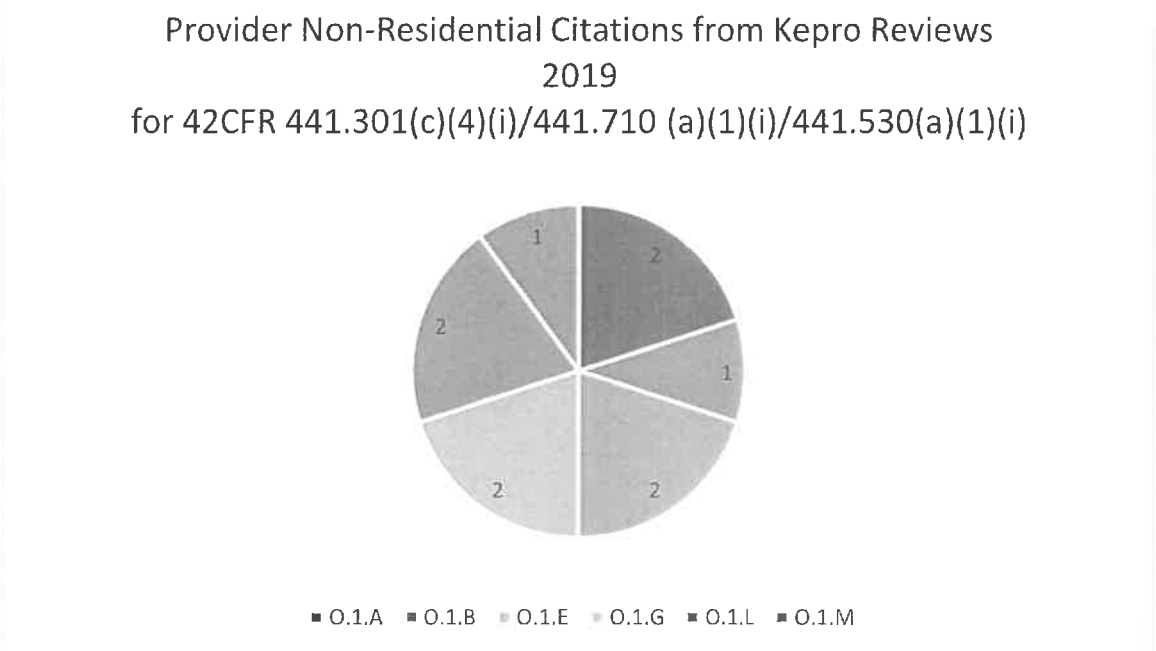

Provider Non-Residential Citations from Kepro Reviews
2018
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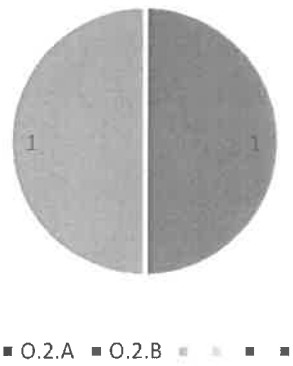

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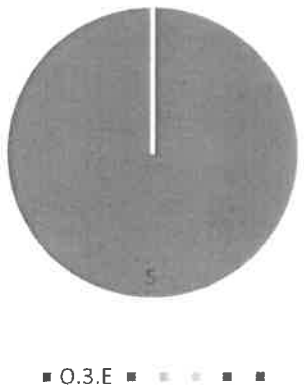
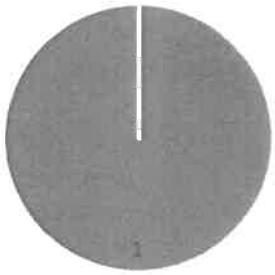


Provider Non-Residential Citations from First Kepro Reviews
2018
for 42CFR 441.301(c)(4)(iv)/441.710
(a)(1)(iv)/441.530(a)(1)(iv)

O.4.F

Provider Non-Residential Citations from Kepro Reviews
2018
for 42CFR 441.301(c)(4)(v)/441.710
(a)(1)(v)/441.530(a)(1)(v)



O.5.A

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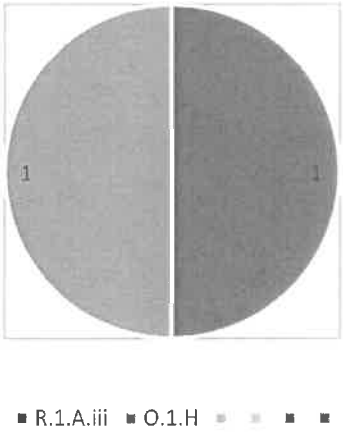
O.2.G.iii	1	2% (.019)
O.3.A.ii	1	2% (.019)
O.3.A.iii	1	2% (.019)
R.1.A.iii	1	2% (.019)

Provider Residential Citations from Kepro Reviews 2019
for
42CFR441.301(c)(4)(i)/441/710(a)91)(i)/441/530(a)91)(i)



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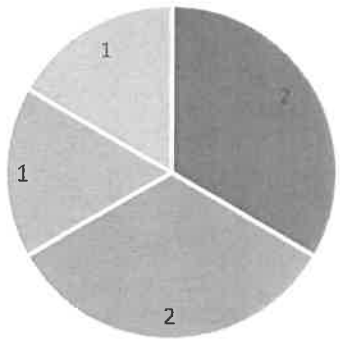

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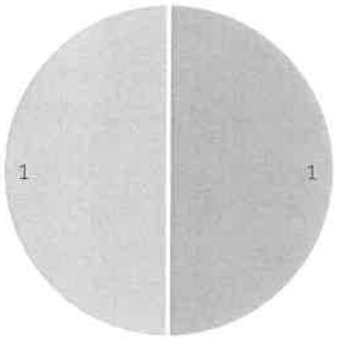
for 42CFR 441.301(c)(4) (iii)/441.710
(a)(1)(iii)/441.530(a)(1)(iii)



NOTE:

SOME PROVIDERS HAD MULTIPLE SETTINGS. EACH SETTING WAS ENTERED IN THE DATABASE INDEPENDENTLY, SINCE CITATIONS VARIED AMONG THESE SETTINGS.

ALL DATA WAS ACQUIRED THROUGH ON SITE SURVEYS/REVIEWS OF SETTINGS. WHILE SETTINGS NOT FOUND IN COMPLIANCE WILL RECEIVE/RECEIVED FOLLOW-UP REVIEWS, THE RESULTS OF THESE REVIEWS ARE NOT INCLUDED IN THIS ANALYSIS.

SUMMARY/ABSTRACT

Non-Residential There were no tags which were cited in more than 7% of the non-residential settings in 2019. Tag O.4.A "There are no gates, Velcro strips, locked doors, fences or other barriers preventing individuals' entrance to or exit from certain areas of the setting." Was cited in 7% (4) of the settings.
Four tags in Non-Residential, O.1.A, O.1.E, O.1.G and O.1.L were cited in 3% (2) of the settings. These tags are: O.1.A -The setting provides opportunities for regular meaningful non-work activities in integrated community settings for the period of time desired by individuals; O.1.E -Individuals receive HCBS in an area of the setting that is fully integrated with individuals not receiving Medicaid HCBS; O.1.G - The setting encourages visitors or other people from the greater community (aside from paid staff) to be present; and O.1.L - The setting assures that tasks and activities are comparable to tasks and activities for people of similar ages who do not receive HCB services. Three of the four settings cited for O.4.A are owned or leased by the same provider.

Residential There were no tags which were cited in more than 4% of the residential settings in 2019. These tags were O.2.E.i (Gates, Velcro strips, locked doors, or other barriers preventing individuals' entrance to or exit from certain areas of the setting are not in evidence) and O.2.F.ii (Appliances are accessible to individuals.

Conclusion: There was much progress. At least 93% of all residential and non-residential settings are in compliance. All settings not found in full compliance have submitted Plans of Compliance and are being monitored by KEPRO.

Next Steps Recommended:
Any needs for increased monitoring have been identified and addressed.

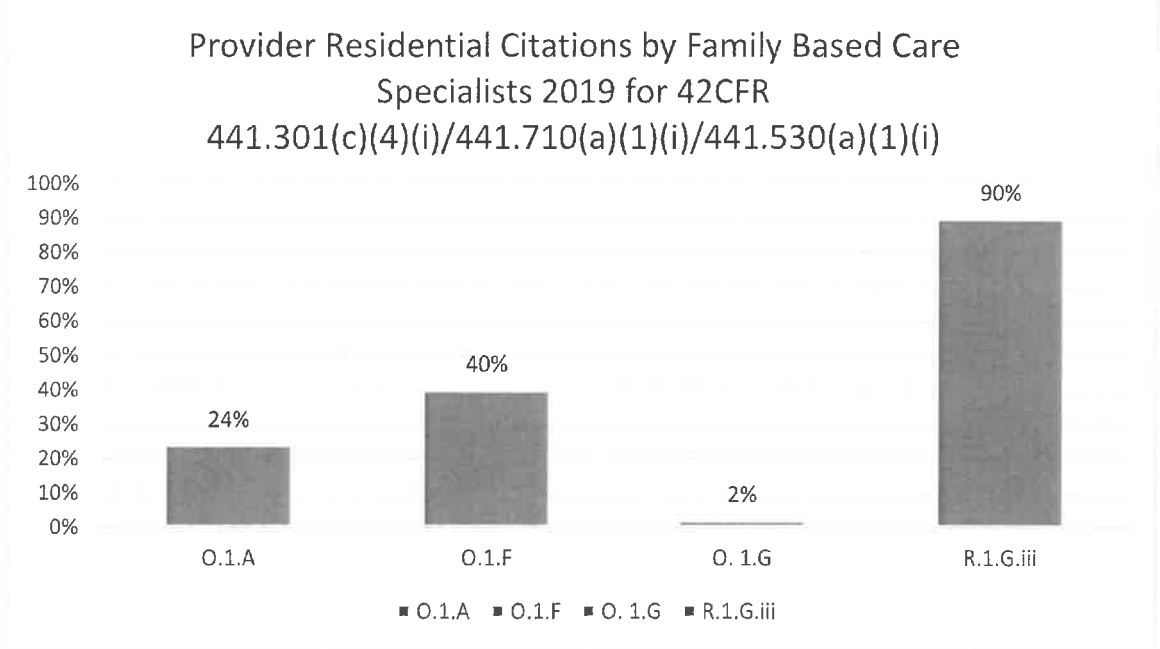
SPECIALIZED FAMILY CARE HOMES ADDED:

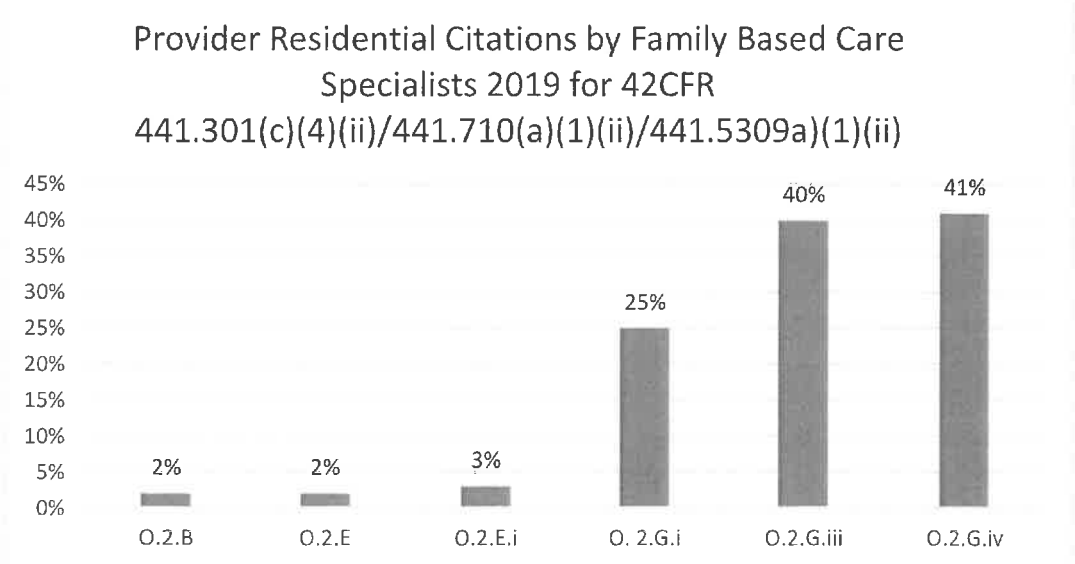
In 2019 the Specialized Family Care homes began being reviewed under the Integrated Services Rule Regulations. The results of those review are given below. The SFC program has 10 regions.

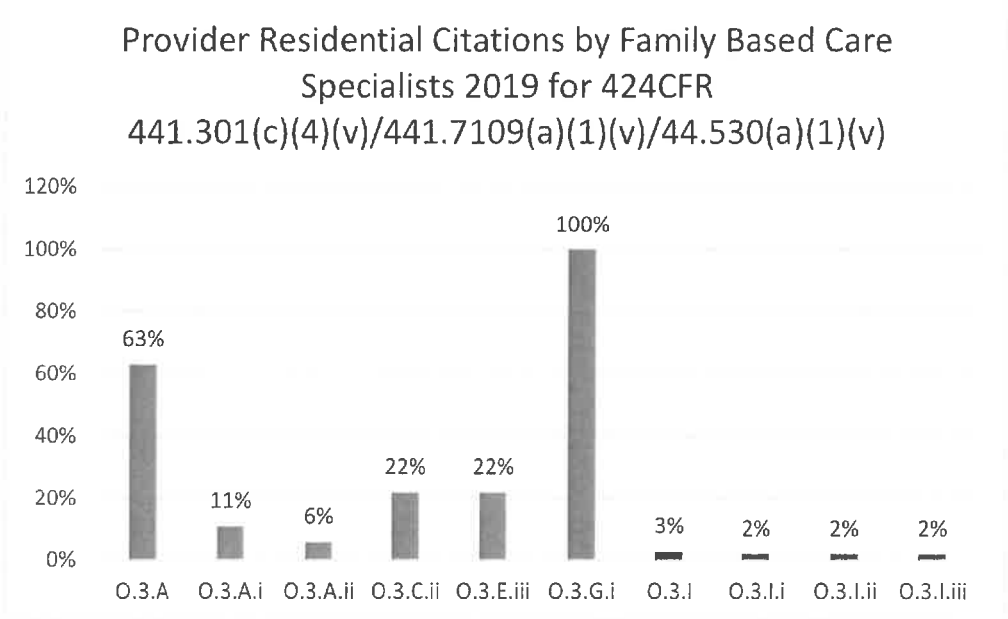
SFC Providers N=63

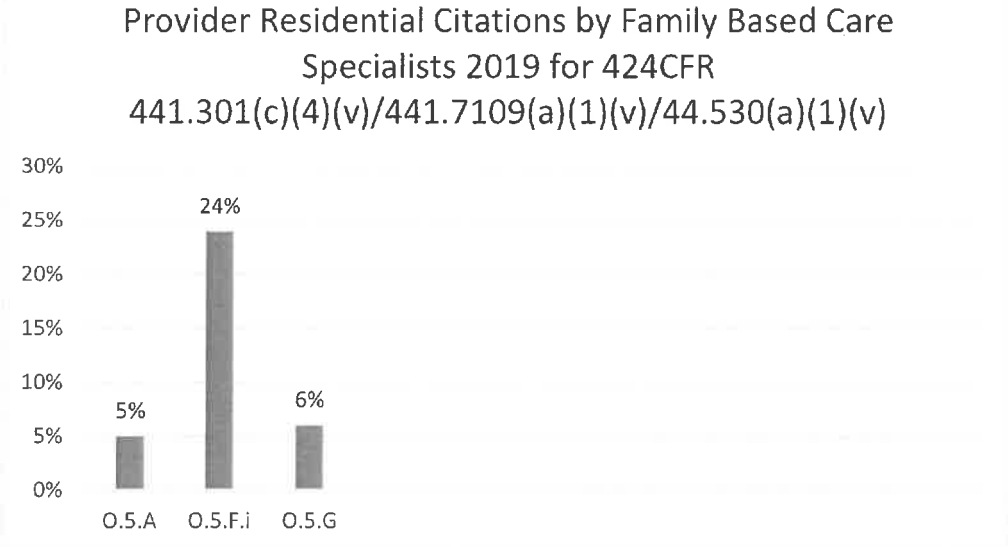
Review Citation	Number of Providers cited	% of Providers cited
O.1.A	15	24% (.238)
O.1.F	25	40% (.396)
O.1.G	1	2% (.015)
O.2.B	1	2% (.015)
O.2.E	2	3% (.031)
O.2.E.i	8	13% (.126)
O.2.G.i	16	25% (.253)
O.2.G.iii	25	40% (.396)
O.2.G.iv	26	41% (.412)
O.3.A	4	6%(.063)
O.3.A.i	7	11% (.111)
O.3.A.ii	4	6%(.063)
O.3.C.ii	14	22% (.222)
O.3.E.iii	14	22% (.222)
O.3.G.i	* ALL63	100%
O.3.I	2	3% (.031)
O.3.Ii	1	2% (.015)
O.3.I.ii	1	2% (.015)
O.3.I.iii	1	2% (.015)
O.5.A	3	5% (.047)
O.5.F.i	15	24% (.238)
O.5.G	4	6%(.063)

R.1.G.iii	57	90% (.904)






Provider Residential Citations by Family Based Care Specialists 2019 for 424CFR 441.301(c)(4)(v)/441.7109(a)(1)(v)/44.530(a)(1)(v)
120%
100%
80%
60%
40%
20%
0%
63%
11%
6%
22%
22%
100%
3%
2%
2%
2%
O.3.A
O.3.A.i
O.3.A.ii
O.3.C.ii
O.3.E.iii
O.3.G.i
O.3.I
O.3.I.i
O.3.I.ii
O.3.I.iii


Provider Residential Citations by Family Based Care Specialists 2019 for 424CFR 441.301(c)(4)(v)/441.7109(a)(1)(v)/44.530(a)(1)(v)
30%
25%
20%
15%
10%
5%
0%
5%
24%
6%
O.5.A
O.5.F.i
O.5.G

Appendix A

Non-RESIDENTIAL

The setting provides opportunities for regular meaningful non-work activities in integrated community settings for the period of time desired by individuals. O.1.A

The setting affords opportunities for individual schedules that focus on the needs and desires of an individual and offer an opportunity for individual growth. O.1.B

Individuals receive HCBS in an area of the setting that is fully integrated with individuals not receiving Medicaid HCBS. O.1.E

The setting encourages visitors or other people from the greater community (aside from paid staff) to be present. There is evidence that visitors have been present at regular frequencies. O.1.G

The setting assures that tasks and activities are comparable to tasks and activities for people of similar ages who do not receive HCB services. O.1.L

The setting is physically accessible, including access to bathrooms and break rooms, and appliances, equipment, and tables/desks and chairs are at a convenient height and location, with no obstructions such as steps, lips in a doorway, narrow hallways, etc., that may limit individuals' mobility in the setting. O.1.M

The setting reflects individual needs and preferences. O.2.A

The setting options offered include non-disability-specific settings, such as competitive employment in an integrated public setting, volunteering in the community, or engaging in general non-disabled community activities such as those available at a YMCA. O.2.B

There are no gates, Velcro strips, locked doors, fences or other barriers preventing individuals' entrance to or exit from certain areas of the setting. O.4.A

The setting affords a variety of meaningful non-work activities that are responsive to the goals, interests and needs of individuals. O.4.B

The setting affords the opportunity for tasks and activities matched to individuals' skills, abilities and desires. O.4.F

RESIDENTIAL

Individuals may come and go at any time as determined by the treatment team. R.1.A.iii

Are individuals prohibited from engaging in legal activities? R.1.G.iii

Individuals are not isolated from individuals not receiving Medicaid HCBS in the broader community. O.1.A

Bus and other public transportation schedules and telephone numbers are available or posted in a convenient location. O.1.F SFC

The individuals have access to materials to become aware of activities occurring in the community. O.1.G

Bus and other public transportation schedules and telephone numbers are posted in a convenient location. O.1.H IDD Waiver

Individuals have full access to facilities in a home such as a kitchen with cooking facilities, dining area, laundry, and comfortable seating in the shared areas. O.2.B

The individual has unrestricted access in the home. O.2.E

Gates, Velcro strips, locked doors, or other barriers preventing individual's entrance to or exit from certain areas for the setting are not in evidence. O.2.E.i

Appliances are accessible to individuals. O.2.F.ii

Individuals come and go at will. O.2.G.i

Individuals have access to public transportation. O.2.G.iii

There are bus stops nearby or there are taxis available in the area. O.2.G.iv

The individual has access to make private telephone calls/text/email at the individual's preference and convenience. O.3.A

Individuals have a private cell phone, computer or other personal communication device or have access to a telephone or other technology device to use for personal communication in private at any time. O.3.A.i

The telephone or other technology device is in a location that has space around it to ensure privacy./ Individuals' room has a telephone jack, WI-FI or ETHERNET jack. O.3.A.ii

Schedules/appointments of individuals for PT, OT, medications, restricted diet, etc., are not posted in a general open area for all to view. O.3.C.ii

SFC home provider does not talk to others about an individual(s) as if the individual was not present or within earshot of other persons living in the setting. O.3.E.iii

Cameras are present in the home, in individual personal living spaces. O.3.G.i

Individuals furnish and decorate their bedrooms in the way that suits them. O.3.I

The individuals' personal items, such as pictures, books, and memorabilia are present and arranged as the individual desires. O.3.I.i

The furniture, linens, and other household items reflect the individuals' personal choices. O.3.I.ii

Individuals' living areas reflect their interests and hobbies. O.3.I.iii

Individuals are not required to adhere to a set schedule for waking, bathing, eating, exercising, activities. O.5.A

Individuals are not required to sit at an assigned seat. O.5.F.i

The individual chooses from whom they receive assistance and support. O.5.G