State Transition Plan Data Analysis 2017

State Transition Plan Data Analysis

Introduction: On May 12, 2017 the Quality Improvement Advisory Council subcommittee met to discuss the State Transition Plan data collection and how it might be analyzed. The committee decided to concentrate on three areas and requested BMS to submit data analysis for these three. They included:

- the ratings of non-residential provider settings in relation to the number of years the provider was in operation.
- the ratings of non-residential provider settings in relation to the number of members served by a provider.
- he ratings of residential provider settings in relation to whether they were 'clustered'.
- Citations studied were those identified as having the least compliance in the Provider Compliance Report presented to the Quality Improvement and Advisory Council dated April 19, 2017.

NOTE:

SOME PROVIDERS HAD MULTIPLE SETTINGS. EACH WAS ENTERED IN THE DATABASE INDEPENDENTLY, SINCE CITATIONS VARIED AMONG THESE SETTINGS.

ALL DATA WAS ACQUIRED THROUGH INITIAL ON-SITE SURVEYS/REVIEWS OF SETTINGS. WHILE SETTINGS NOT FOUND IN COMPLIANCE WILL RECEIVE/RECEIVED FOLLOW-UP REVIEWS, THE RESULTS OF THESE REVIEWS ARE NOT INCLUDED IN THIS ANALYSIS.

APPENDIX A INCLUDES A LIST OF TAG NUMBERS (i.e. O.1.A et al) AND THEIR DESCRIPTIONS.

SUMMARY/ABSTRACT

The first area of examination identified by the committee was the relationship of citations O.1.A, O.1.E, O.1.G and O.1.L (if any) to the maturity of the provider. *Section I* lists the Hypotheses for this data set and the Findings. The most noteworthy finding was under O.1.A. There was a substantial difference in the incidence of O.1.A citations between providers in existence less than ten years and providers in existence more than ten (10) years. While 50% of providers in existence for ten (10) years or less were in compliance, 37% of the providers in existence for more than ten (10) years were in compliance.

Examination of the raw data revealed a natural grouping of providers at the seventeen (17) year stage of development. This led to additional examination of the relationship of citations O.1.A, O.1.E, O.1.G and O.1.L (if any) to the maturity of providers seventeen (17) years or older and those in existence sixteen (16) years or less. *Section I* lists the Hypotheses for this data set and the Findings as well. The seventeen (17) year benchmark was far more of a determining factor.

Section Ia

H01= There is no difference in incidence of O.1.A citations between providers in existence less than ten years and providers in existence more than 10 years.

H02= There is no difference in incidence of O.1.E citations between providers in existence less than ten years and providers in existence more than 10 years.

H03= There is no difference in incidence of O.1.G citations between providers in existence less than ten years and providers in existence more than 10 years.

H04= There is no difference in incidence of O.1.L citations between providers in existence less than ten years and providers in existence more than 10 years.

FINDINGS:

There was a substantial difference in the incidence of O.1.A citations between providers in existence less than ten years and providers in existence more than 10 years. While 50% of providers in existence for 10 years or less were in compliance, 37% of the providers in existence for more than ten years were in compliance.

Section Ib

H05= There is no difference in incidence of O.1.A citations between providers in existence less than seventeen (17) years and providers in existence more than seventeen (17) years.

H06=There is no difference in incidence of O.1.E citations between providers in existence less than seventeen (17) years and providers in existence more than seventeen years.

H07=There is no difference in incidence of O.1.G citations between providers in existence less than seventeen (17) years and providers in existence more than seventeen (17) years.

H08=There is no difference in incidence of O.1.L citations between providers in existence less than seventeen (17) years and providers in existence more than seventeen (17) years.

FINDINGS:

There was no substantial difference between providers in existence for less than seventeen (17) years and providers in existence for more than seventeen (17) years for citations O.1.A.

There was a substantial difference in the incidence of O.1.E citations between providers in existence less than seventeen (17) years and providers in existence more than seventeen (17) years. While 29% of providers in existence for

seventeen (17) years or less were in compliance, 55% of the providers in existence for more than seventeen (17) years were in compliance.

There was a substantial difference in the incidence of O.1.G citations between providers in existence less than seventeen (17) years and providers in existence more than seventeen (17) years. While 29% of providers in existence for seventeen (17) years or less were in compliance, 45% of the providers in existence for more than seventeen (17) years were in compliance.

There was a substantial difference in the incidence of O.1.L citations between providers in existence less than seventeen (17) years and providers in existence more than seventeen (17) years. While 29% of providers in existence for seventeen (17) years or less were in compliance, 45% of the providers in existence for more than seventeen (17) ten years were in compliance.

Raw data x indicates setting in compliance for that tag.

Provider response Log for Assessment						
Center	2007 or later	2000 or later	O.1.A	O.1.E	O.1.G	O.1.L
Appalachian3/11/1965						
ARC 3 R8/8/1952 Charleston				x		x
ARC 3 R8/8/1952 Madison			x	x	x	x
Arc of Harrison4/9/1959				x		
ASC3/29/1979						
Autism Management Group6/27/2011	*	*	x	x		x
Bright Horizons 7/1/1977						x
Community Services12/8/2003		*		x		

Daily Companions2/25/2004		*				
Developmental Center and Workshop1/30/1969				x		
Diversified Assessment and Therapy4/12/2012	*	*	x			x
EastRidge2/27/1970 Water Street			x		x	x
EastRidge2/27/1970 Coumo Road						
EastRidge2/27/1970 Morgan Co						x
EastRidge2/27/1970 Jefferson Co			x		x	x
Empowerment through Employment6/10/1969			x	x	x	x
Hampshire Co Sp Services 6/21/1973				x	x	x
Hancock Co SW 10/11/1958						x
Healthways 6/12/1970 HCOC				x		
Healthways 6/12/1970 BCOC S				x		
Healthways 6/12/1970 BCOC N			x			
Integrated Resources 6/16/1966			x		x	
JCDC 9/17/1979 Point Pleasant						
JCDC 9/17/1979 Parkersburg						x
JCDC 9/17/1979 Millwood						
JCCOA 3/13/1985					x	
Job Squad 8/2/1984			x	x		x
Logan Mingo 12/15/1970 Logan						
Logan Mingo 12/15/1970 Chattaroy						

Mainstream 8/9/2001		*				
MidValley 4/24/2007	*	*			x	
Northwood 10/31/1967 19th St				x	x	x
Northwood 10/31/1967 Adena Hills				x	x	
Open Doors 8/1/1990 Nicholas Co			x			x
Open Doors 8/1/1990 Greenbrier Co			x	x		x
PACE 2/3/1972 Morgantown				x		x
PACE 2/3/1972 Fairmont				x		
Potomac H Guild 2/6/1978			x	x	x	x
Prestera 2/24/1967 Michael St						
Prestera 2/24/1967 8th St				x		
REM 5/12/1993 Benwood			x	x	x	x
REM 5/12/1993 Boaz			x	x	x	x
REM 5/12/1993 Follansbee			x	x	x	x
REM 5/12/1993 Charleston						
REM 5/12/1993 Martinsburg			x	x	x	x
REM 5/12/1993 New Martinsville			x	x	x	x
REM 5/12/1993 Paden City			x	x	x	x
REM 5/12/1993 Bridgeport			x		x	x
REM 5/12/1993 Morgantown			x		x	x
Rescare Huntington 4/10/1987 (org. VOCA)						
Russell Nesbitt 6/14/1958 Fulton					x	
Russell Nesbitt 6/14/1958 Main			x	x	x	x

So Highlands 3/15/1968 Princeton				x	x	
So Highlands 3/15/1968 Mullens				x	x	
Stonebrook 6/2/1987			x	x	x	x
SW Resources 9/24/1964				x	x	
United Summit 2/17/1970 Clarksburg						
United Summit 2/17/1970 Grafton						
United Summit 2/17/1970 Sutton						
United Summit 2/17/1970 Weston						
Unlimited PosAbilities 1/31/2011	*	*		x	x	
Valley 4/14/1972 Morgantown			x			x
Valley 4/14/1972 White Hall						
Westbrook 4/15/1966 Parkersburg						
Westbrook 4/15/1966 Spencer						

N=65 2007 or Later N=4 2000 or Later N=7

Prior to 2007	N=61	correct	% of N
	01A	21	37%
	01E	28	50%
	01G	25	45%
	01L	27	48%

2007 or later -	N=4	correct	% of N
	01A	2	50%

	01E	2	50%
	01G	2	50%
	01L	2	50%
Prior to 2000	N=58	correct	% of N
	01A	21	40%
	01E	29	55%
	01G	24	45%
	01L	24	45%
2000 or later -	N=7	correct	% of N
	01A	3	42%
	01E	2	29%
	01G	2	29%
	01L	2	29%

SECTION II

The second area of examination identified by the committee was the relationship of citations O.1.A, O.1.E, O.1.G and O.1.L (if any) to the number of members served by the provider. *Section II* lists the Hypotheses for this data set and the Findings.

> H09= There is no difference in the incidence of O.1.A citations among providers based on the number of members served. <10, 20, 30, 40, 50 and 50+
>
> Ho10= There is no difference in the incidence of O.1.E citations among providers based on the number of members served. <10, 20, 30, 40, 50 and 50+

Ho11= There is no difference in the incidence of O.1.G citations among providers based on the number of members served. <10, 20, 30, 40, 50 and 50+

Ho12= There is no difference in the incidence of O.1.L citations among providers based on the number of members served. <10, 20, 30, 40, 50 and 50+

FINDINGS:

When the data is analyzed with the tag number as the independent variable, see **Analysis by individual 'tag' number,** there were noteworthy findings.

For O.1.A, the percentage of agencies who were in compliance varied between 0% (agencies serving over 51 members) and 60% (agencies serving under 10 members).

For O.1.E, the percentage of agencies who were in compliance varied between 13% (agencies serving 21-30 members) and 66% (agencies serving 41-50 members).

For O.1.G, the percentage of agencies who were in compliance varied between 25% (agencies serving 21-30 members) and 66% (agencies serving 41-50 members).

For O.1.L, the percentage of agencies who were in compliance varied between 25% (agencies serving 21-30 members) and 70% (agencies serving under 10 members).

There was no correlation among the tags regarding overall compliance based on the number of members served. This indicates that the null hypotheses are correct.

When the data is analyzed with the number of members served as the independent variable, see **Analysis by number of members,** there were noteworthy findings.

For agencies serving less than 10 members, the percentage of agencies who were in compliance varied between 50% (O.1.A) and 70% (O.1.L).

For agencies serving 10-20 members, the percentage of agencies who were in compliance varied between 26% (O.1.A and O.1.L) and 47% (O.1.E).

For agencies serving 21-30 members, the percentage of agencies who were in compliance varied between 13% (O.1.A and O.1.E) and 25% (O.1.G and O.1.L).

This is the most problematic sub-set.

For agencies serving 31-40 members, the percentage of agencies who were in compliance varied between 20% (O.1.G) and 50% (O.1.E).

For agencies serving 41-50 members, the percentage of agencies who were in compliance varied between 33% (O.1.A and O.1.L) and 66% (O.1.E and O.1.G).

For agencies serving more than 51 members, the percentage of agencies who were in compliance varied between 0% (O.1.A) and 60% (O.1.E and O.1.L).

There was correlation for the number of members served and discrete tags. Agencies serving 21-30 members scored lowest overall for all tags.

FINDINGS:

Raw data x indicates setting in compliance for that tag.

Census recorded is that found during the initial review for each setting. N may be duplicated.

Provider response Log for Assessment						
Center	Census N	DH/SE	O.1.A	O.1.E	O.1.G	O.1.L
Appalachian	29	29/0				
ARC 3 R Charleston	29	29/0		x		x
ARC 3 R Madison	10	10/0	x	x	x	x
Arc of Harrison	31	31/0		x		

ASC	6	6/0				
Autism Management Group	10	10/0	x	x		x
Bright Horizons	40	20/20				x
Community Services	10	10/0		x		
Daily Companions	19	19/0				
Developmental Center and Workshop	40	25/15		x		
Diversified Assessment and Therapy	36	36/0	x			x
EastRidge Water Street	3	0/3	x		x	x
EastRidge Coumo Road	72	72/3				
EastRidge Morgan Co	6	6/0				x
EastRidge Jefferson Co	1	0/1	x		x	x
Empowerment through Employment	10	0/10	x	x	x	x
Hampshire Co Sp Services	64	64/0		x	x	x
Hancock Co SW	58	29/29				x
Healthways HCOC	38	38/0		x		
Healthways BCOC S	6	6/0		x		
Healthways BCOC N	36	22/14	x			
Integrated Resources	10	3/7	x		x	
JCDC Point Pleasant	14	12/2				
JCDC Parkersburg	3	2/1				x
JCDC Millwood	32	16/16				
JCCOA	22	22/0			x	
Job Squad	1	0/1	x	x		x

Logan Mingo Logan	15	15/0				
Logan Mingo Chattaroy	10	10/0				
Mainstream	19	19/0				
MidValley	20	20/0			x	
Northwood 19th St	19	19/0		x	x	x
Northwood Adena Hills	52	52/0		x	x	
Open Doors Nicholas Co	1	0/1	x			x
Open Doors Greenbrier Co	5	0/5	x	x		x
PACE Morgantown	103	43/60		x		x
PACE Fairmont	12	12/0		x		
Potomac H Guild	15	15/0	x	x	x	x
Prestera Michael St	40	40/0				
Prestera 967 8th St	14	14/0		x		
REM Benwood	8	0/8	x	x	x	x
REM Boaz	45	0/45	x	x	x	x
REM Follansbee	1	0/1	x	x	x	x
REM Charleston	29	29/0				
REM Martinsburg	4	0/4	x	x	x	x
REM New Martinsville	38	0/38	x	x	x	x
REM Paden City	4	0/4	x	x	x	x
REM Bridgeport	2	0/2	x		x	x
REM Morgantown	2	0/2	x		x	x
Rescare Huntington	26	26/0				
Russell Nesbitt Fulton	30	28/2			x	

Russell Nesbitt Main	**32**	**16/16**	**x**	**x**	**x**	**x**
So Highlands Princeton	**48**	**48/0**		**x**	**x**	
So Highlands Mullens	**9**	**9/0**		**x**	**x**	
Stonebrook	**9**	**9/0**	**x**	**x**	**x**	**x**
SW Resources	**19**	**12/7**		**x**	**x**	
United Summit Clarksburg	**50**	**25/25**				
United Summit Grafton	**8**	**8/0**				
United Summit Sutton	**10**	**10/0**				
United Summit Weston	**7**	**7/0**				
Unlimited PosAbilities	**8**	**8/0**		**x**	**x**	
Valley Morgantown	**30**	**30/0**	**x**			**x**
Valley White Hall	**17**	**17/0**				
Westbrook Parkersburg	**27**	**27/0**				
Westbrook Spencer	**18**	**17/1**				

N=65

Analysis by individual 'tag' numbers

# of Members	# of Providers	# **O.1.A compliant**	
<10	20	12	60%
10-20	19	5	26%
21-30	8	1	13%
31-40	10	4	40%

41-50	3	1	33%
51+	5	0	0 %

# of Members	# of Providers	# **O.1.E**	**compliant**
<10	20	10	50%
10-20	19	9	47%
21-30	8	1	13%
31-40	10	5	50%
41-50	3	2	66%
51+	5	3	60%

# of Members	# of Providers	# **O.1.G**	**compliant**
< 10	20	11	55%
10-20	19	7	37%
21-30	8	2	25%
31-40	10	2	20%
41-50	3	2	66%
51+	5	2	40%

# of Members	# of Providers	# **O.1.L**	**compliant**
< 10	20	14	70%

10-20	19	5	26%
21-30	8	2	25%
31-40	10	4	40%
41-50	3	1	33%
51+	5	3	60%

*** Analysis by number of members**

N=20

<10	correct	% of N
O.1.A	12	60%
O.1.E	10	50%
O.1.G	11	55%
O.1.L	14	70%

N=19

10-20	correct	% of N
O.1.A	5	26%
O.1.E	9	47%
O.1.G	7	37%
O.1.L	5	26%

N=8

21-30	correct	% of N

O.1.A	1	13%
O.1.E	1	13%
O.1.G	2	25%
O.1.L	2	25%

N=10

31-40	correct	% of N
O.1.A	4	40%
O.1.E	5	50%
O.1.G	2	20%
O.1.L	4	40%

N=3

41-50	correct	% of N
O.1.A	1	33%
O.1.E	2	66%
O.1.G	2	66%
O.1.L	1	33%

N=5

51+	correct	% of N
O.1.A	0	0%
O.1.E	3	60%

O.1.G	2	40%
O.1.L	3	60%

SECTION III

SUMMARY/ABSTRACT

The last area of examination identified by the committee was the relationship of citations of O.1.A, O.1.F and O.1.H of the residential tags to the location of the residence, specifically if the residence was a part of a cluster. Cluster was defined as a group of 2 or more homes located in close proximity to each other. The most noteworthy finding was under O.1.H.

Section III lists the Hypotheses for this data set and the Findings as well.

Ho13 =There is no difference in incidence of O.1.A citations between residential settings that are clustered versus those not clustered.

H014 =There is no difference in incidence of O.1.F citations between residential settings that are clustered versus those not clustered.
H015 =There is no difference in incidence of O.1.H citations between residential settings that are clustered versus those not clustered.

FINDINGS:

There was a substantial difference in the incidence of O.1.H citations between Clustered homes and those homes not clustered. While 73% of providers in Clustered homes were in compliance, 46% of the providers in non-clustered homes were in compliance. O.1.H has to do with the provision of transportation information to members.

There was no substantial difference in the incidence of O.1.A and O.1 F citations between Clustered homes and those homes not clustered. 36% of O.1.A clustered homes and 41% of O.1.A non-clustered homes were in compliance. 23% of O.1.F clustered homes and 29% of O.1.F non-clustered homes were in compliance.

There was little difference in total number of homes for each subset (22 for clustered homes and 24 for non-clustered homes.)

Raw data

This chart identifies all the residential settings entered into the State Transition Plan Provider Assessment Survey completed by providers between 4/1/15 and 8/19/15. Data was subsequently screened for accuracy and there were some homes that were entered in the which did not meet the criteria for inclusion under the State Transition Plan (i.e. They were not owned or leased by the provider, or they did not serve IDD Waiver members). These are individually identified in the Notes section. There were also some homes which were not a part of the sample as identified in the State Transition Plan page 14. These are also identified in the Notes section.

		DS	RS	
Arc of Harrison	624 Locust	3	3	
Arc of Harrison	1751 15th Street	2	2	
Arc of Harrison	121 Matthew St	3	3	Cluster 1
Arc of Harrison	122 Matthew St	3	3	Cluster 1
Arc of Harrison	1420 Alpha Ave	3	3	
Arc of Harrison	348 Grand Ave	3	3	
Arc of Harrison	127 Matthew St	2	2	closed private residence
ASC	11th Ave Huntington	3	0	Not sample
ASC	3rd Ave Huntington	3	0	Not sample
ASC	5th St Rd Huntington	3	0	Not sample
ASC	Bates House	3	3	Not sample
ASC	Casto House	3	3	Not sample
ASC	Hyman House	3	3	Not sample

ASC	Sullivan House	3	3	Not sample
ASC	10th Ave House	3	3	
ASC	Fifteenth St	3	3	
ASC	Merrill Ave Huntington	3	3	
ASC	Pelican House	3	3	
EastRidge	Hoffmaster I Martinsburg	7	7	Cluster 2
EastRidge	Hoffmaster II Martinsburg	7	7	Cluster 2
Healthways	Cove House Weirton	3	3	
Healthways	*Shiloh Apartments Wellsburg*	*25*	*18*	
Healthways	*Greenbrier Manor Weirton*	*8*	*6*	
Horizons	*934 Williams St Parkersburg*	*6*	*4*	

Northwood	*Twin Acres W Wheeling*	*5*	*5*	Cluster 3
Northwood	*Twin Acres E Wheeling*	*5*	*4*	Cluster 3
Open Doors	Nettie	3	2	
Potomac Ctr	Shadyside Romney	3	3	
Potomac Ctr	Hardy House Moorefield	3	3	
Potomac Ctr	Hampshire Place Romney	3	3	Private owner
Potomac H Guild	Center Ave Romney	3	3	Private owner
Prestera	Linden Place	3	3	Not sample
Prestera	Maplewood	3	3	Not sample
Prestera	Clendenin Waiver 2	3	3	Cluster 4
Prestera	Clendenin Waiver 3	3	3	Cluster 4
Prestera	Clendenin Waiver I	3	3	Cluster 4
REM Wheeling	3611 Morgan Dr	3	3	Not sample

REM Wheeling	Glen Haven	3	3	Not sample
REM Wheeling	Marland Hts	3	3	Not sample
REM Wheeling	Morgan Dr	3	3	Not sample
REM Wheeling	Church Ave	3	3	
Russell Nesbitt	413 Fulton St	3	3	Cluster 5
Russell Nesbitt	120 Euclid Wheeling	3	3	Cluster 6
Russell Nesbitt	134 Euclid Wheeling	3	3	Cluster 6
Russell Nesbitt	25 Storch Wheeling	3	3	
Russell Nesbitt	513 Fulton Wheeling	3	3	Cluster 5
Russell Nesbitt	107 Frazier Run Wheeling	2	2	
Russell Nesbitt	529 Fulton Wheeling	2	2	Cluster 5
Russell Nesbitt	513 1/2 Fulton Wheeling	1	1	Cluster 5

Russell Nesbitt	517 Fulton Wheeling	1	1	Cluster 5
Russell Nesbitt	231 Fulton Wheeling	1	1	Cluster 5
Russell Nesbitt	233 Fulton Wheeling	3		being renovated
Starlight	823 23rd St Huntington	3	3	
Starlight	328 Davis St Huntington	2	0	forensic no IDD Waiver
Stepping Stones Cottages	Cashew Lane A Martinsburg	1	1	Private owner
Stepping Stones Cottages	Cashew Lane B Martinsburg	1	1	Private owner
Stonebrook	W R Booth Locust Grove	8	8	Private owner
Stonebrook	Falling Waters	6	6	Private owner
Stonebrook	Dominion Martinsburg	5	5	Private owner
Stonebrook	Paynes Ford Rd Martinsburg	3	3	Private owner

Stonebrook	Green House Pitzer Martinsburg	8	8	Private owner
Stonebrook	Williamsport Pike Martinsburg	3	3	Private owner
Sycamore Run	House III Keyser	4	4	Cluster 7
Sycamore Run	House IV Keyser	4	2	Cluster 7
Sycamore Run	House I Jeffy Keyser	3	3	Cluster 7
Sycamore Run	House II Keyser	2	2	Closed
United Summit	Alpha Street	3	3	
Valley	Harlem Morgantown	3	3	Not Sample
Valley	McCartney Ave Morgantown	3	3	Not Sample
Valley	Cathy Morgantown	3	3	Not Sample
Valley	*Pixler Hill Morgantown*	*6*	*6*	

Valley	**Herman Morgantown**	**4**	**4**	
Valley	**Sabraton Ave Morgantown**	**4**	**4**	
Valley	**Elysian Morgantown**	**3**	**4**	
Westbrook	**Stella I Parkersburg**	**4**	**4**	**Cluster 8**
Westbrook	**Stella II Parkersburg**	**4**	**4**	**Cluster 8**

N = 77 Italics – homes grandfathered into Transition Plan

Cluster Homes only							
CENTER	SETTING	BEDS	MEMBERS	Notes	O.1.A	O.1.F	O.1.H
Arc of Harrison	121 Matthew St	3	3	Cluster 1	x	x	
Arc of Harrison	122 Matthew St	3	3	Cluster 1		x	
EastRidge	Hoffmaster I Martinsburg	7	7	Cluster 2			
EastRidge	Hoffmaster II Martinsburg	7	7	Cluster 2			
Northwood	*Twin Acres W Wheeling*	*5*	*5*	Cluster 3	x	x	x
Northwood	*Twin Acres E Wheeling*	*5*	*4*	Cluster 3	x	x	x
Prestera	Clendenin Waiver 2	3	3	Cluster 4	x		x
Prestera	Clendenin Waiver 3	3	3	Cluster 4	x	x	x
Prestera	Clendenin Waiver I	3	3	Cluster 4	x		x
Russell Nesbitt	413 Fulton St	3	3	Cluster 5			x

Russell Nesbitt	120 Euclid Wheeling	3	3	Cluster 6			x
Russell Nesbitt	134 Euclid Wheeling	3	3	Cluster 6			x
Russell Nesbitt	513 Fulton Wheeling	3	3	Cluster 5			x
Russell Nesbitt	529 Fulton Wheeling	2	2	Cluster 5			x
Russell Nesbitt	513 Rear Fulton Wheeling	1	1	Cluster 5			x
Russell Nesbitt	517 Fulton Wheeling	1	1	Cluster 5			x
Russell Nesbitt	231 Fulton Wheeling	1	1	Cluster 5			x
Sycamore Run	House III Keyser	4	4	Cluster 7			x
Sycamore Run	House IV keyser	4	2	Cluster 7			x
Sycamore Run	House I Jeffy Keyser	3	3	Cluster 7			x
Westbrook	Stella I Parkersburg	4	4	Cluster 8	x		
Westbrook	Stella II Parkersburg	4	4	Cluster 8	x		
					8	5	16
					36%	23%	73%

N=22

Italics – homes grandfathered into Transition Plan

Non Cluster Homes	Italics - homes grandfathered into Transition Plan						
CENTER	SETTING	BEDS	MEMBERS	Notes	O.1.A	O.1.F	O.1.H
Arc of Harrison	624 Locust	3	3				
Arc of Harrison	1751 15th Street	2	2				
Arc of Harrison	1420 Alpha Ave	3	3				
Arc of Harrison	348 Grand Ave	3	3				
ASC	10th Ave House	3	3				
ASC	Fifteenth St	3	3				x
ASC	Merrill Ave Huntington	3	3				x
ASC	Pelican House	3	3				x
Healthways	Cove House Weirton	3	3		x	x	

Healthways	*Shiloh Apartments Wellsburg*	*25*	*18*			x	x
Healthways	*Greenbrier Manor Weirton*	*8*	*6*		x	x	x
Horizons	*934 Williams St Parkersburg*	*6*	*4*		x	x	x
Open Doors	Nettie	3	2			x	x
Potomac Ctr	Shadyside Romney	3	3		x	x	x
Potomac Ctr	Hardy House Moorefield	3	3		x		x
REM Wheeling	Church Ave	3	3		x		x
Russell Nesbitt	25 Storch Wheeling	3	3				
Russell Nesbitt	107 Frazier Run Wheeling	2	2				
Starlight	823 23rd St Huntington	3	3		x	x	x
United Summit	Alpha Street	3	3				

Valley	*Pixler Hill Morgantown*	*6*	*6*				
Valley	Herman Morgantown	4	4		x		
Valley	Sabraton Ave Morgantown	4	4		x		
Valley	Elysian Morgantown	3	4		x		

Appendix A

Non-RESIDENTIAL

The setting provides opportunities for regular meaningful non-work activities in integrated community settings for the period of time desired by individuals. O.1.A

Individuals receive HCBS in an area of the setting that is fully integrated with individuals not receiving Medicaid HCBS. O.1.E

The setting encourages visitors or other people from the greater community (aside from paid staff) to be present. There is evidence that visitors have been present at regular frequencies. (For example, customers in a pre-vocational setting). Guidance: visitors greet/acknowledge individuals receiving services with familiarity when they encounter them; visiting hours are unrestricted; the setting otherwise encourages interaction with the public). O.1.G

The setting assures that tasks and activities are comparable to tasks and activities for people of similar ages who do not receive HCB services. O.1.L

RESIDENTIAL

Individuals are not isolated from individuals not receiving Medicaid HCBS in the broader community. O.1.A

Visitors are present. O.1.F

Bus and other public transportation schedules and telephone numbers are posted in a convenient location. O.1.H

State Transition Plan Data Analysis 2018

Dr. Rose Lowther-Berman

Program Manager I, West Virginia State Transition Plan

January 31, 2019

Introduction: We are continuing to gather data regarding provider compliance with the Home and Community Based Services Rule criteria. Analysis of the reviews conducted by Kepro and received at BMS by December 31, 2018 revealed the following limitations and delimitations:

Delimitations: Reviews are by different reviewers.

Tags Cited: The total number of tags cited was less than first time and the tags cited were not as scattered throughout the 5 criteria. There were no tags cited under Section 04 and Section 05 in residential provider settings at all. The aggregate number of tags cited also diminished. There were 26% of Residential and 24% of Non-Residential settings that had one or more tags cited. All others (74% of Residential and 76% of Non-Residential) were fully compliant.

Notes:

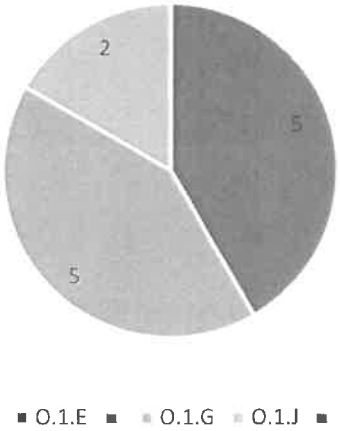
-When Kepro reviewed a provider more than once since the BMS review, the more recent Kepro review is recorded.

-**Appendix A** includes a list of tag numbers cited (O.1.E et al) and their descriptors.

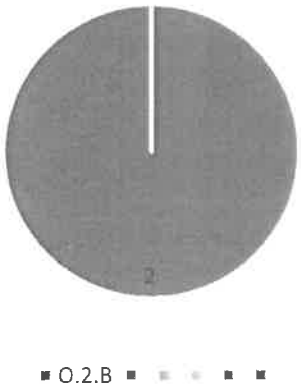
Non-Residential N=59

Review Citation	Number of Providers	% of Noncompliance
O.1.E	5	8%=.084
O.1.G	5	8%=.084
O.1.J	2	3%=.033
O.2.B	2	3%=.033
O.3.E	5	8%=.084
O.4.A	1	2%=.016
O.4.B	1	2%=.016
O.4.E	1	2%=.016
O.5.A	1	2%=.016

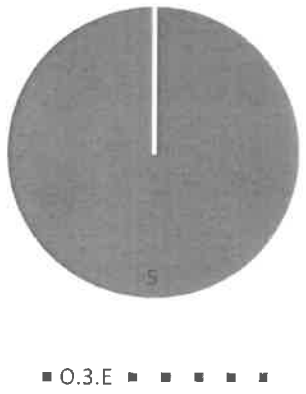
Provider Non-Residential Citations from Kepro Reviews
2018
for 42CFR 441.301(c)(4)(i)/441.710 (a)(1)(i)/441.530(a)(1)(i)



Provider Non-Residential Citations from Kepro Reviews
2018
for 42CFR 441.301(c)(4)(ii)/441.710
(a)(1)(ii)/441.530(a)(1)(ii)



Provider Non-Residential Citations from Kepro Reviews
2018
for 42CFR 441.301(c)(4)(iii)/441.710
(a)(1)(iii)/441.530(a)(1)(iii)



Provider Non-Residential Citations from First Kepro Reviews
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for 42CFR 441.301(c)(4)(iv)/441.710
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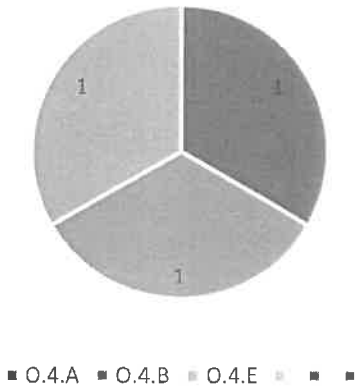
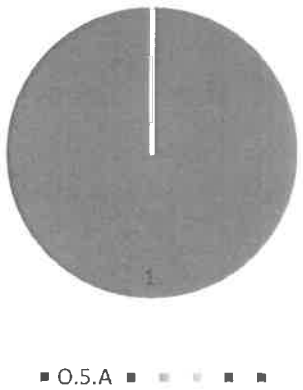


Provider Non-Residential Citations from Kepro Reviews
2018
for 42CFR 441.301(c)(4)(v)/441.710
(a)(1)(v)/441.530(a)(1)(v)

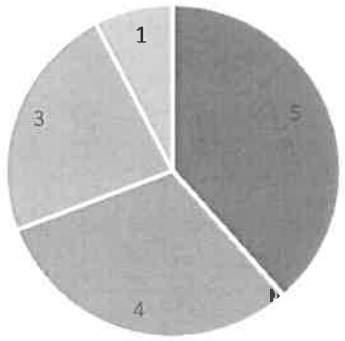


Residential N=53

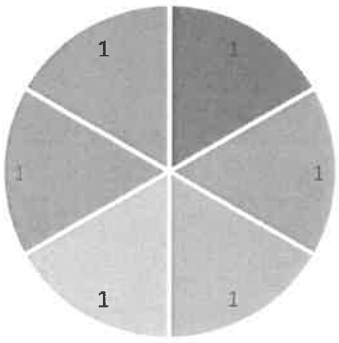
Review Citation	Number of Providers	% of Providers
O.1.E	1	2%=.018
O.1.G	5	10%=.095
O.1.H	4	8%=.075
O.1.I	3	6%=.056
O.2.E.i	1	2%=.018
O.2.F.ii	1	2%=.018

O.2.G	1	2%=.018
O.2.G.i	1	2%=.018
O.2.G.iii	1	2%=.018
O.2.G.v	1	2%=.018
O.3.A.i	2	4%=.037
O.3.A.ii	1	2%=.018
O.3.A.iii	1	2%=.018
O.3.F.ii	1	2%=.018
O.3.F.iii	2	4%=.037
O.3.G.i	1	2%=.018
O.3.G.iv	1	2%=.018

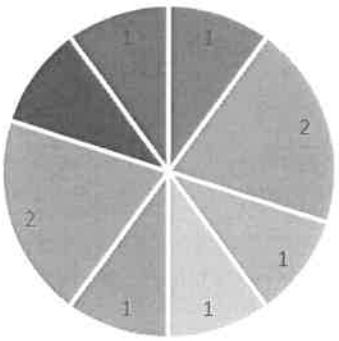
Provider Residential Citations from Kepro Reviews 2018
for
42CFR441.301(c)(4)(i)/441/710(a)91)(i)/441/530(a)91)(i)



Provider Citations from Kepro Reviews 2018
for 42CFR 441.301(c)(4) (ii)/441.710
(a)(1)(ii)/441.530(a)(1)(ii)



Provider Citations from Kepro Reviews 2018
for 42CFR 441.301(c)(4) (iii)/441.710
(a)(1)(iii)/441.530(a)(1)(iii)



NOTE:

SOME PROVIDERS HAD MULTIPLE SETTINGS. EACH SETTING WAS ENTERED IN THE DATABASE INDEPENDENTLY, SINCE CITATIONS VARIED AMONG THESE SETTINGS.

ALL DATA WAS ACQUIRED THROUGH ON SITE SURVEYS/REVIEWS OF SETTINGS. WHILE SETTINGS NOT FOUND IN COMPLIANCE WILL RECEIVE/RECEIVED FOLLOW-UP REVIEWS, THE RESULTS OF THESE REVIEWS ARE NOT INCLUDED IN THIS ANALYSIS.

SUMMARY/ABSTRACT

Non-Residential There were no tags which were cited in more than 8% of the non-residential settings in 2018. Three tags in Non-Residential, O.1.E, O.1.G and O.3.E were cited in 10% of the settings. These tags are: O.1.E -Individuals receive HCBS in an area of the setting that is fully integrated with individuals not receiving Medicaid HCBS; O.1.G - The setting encourages visitors or other people from the greater community (aside from paid staff) to be present; and O.3.E -The setting offers a secure place for the individual to store personal belongings. Three of the five settings cited for O.1.E and O.1.G are owned or leased by the same provider. Two of the settings cited under O.3.E are owned or leased by that same provider as well.

Residential There were no tags which were cited in more than 10% of the residential settings in 2018. O.1.G - The setting encourages visitors or other people from the greater community (aside from paid staff) to be present was the sole tag cited for 10% of the residential providers. 80% of these homes were owned or leased by the same provider.

The Bureau for Medical Services and Kepro are working with these two providers to address the systemic issues which may be present.

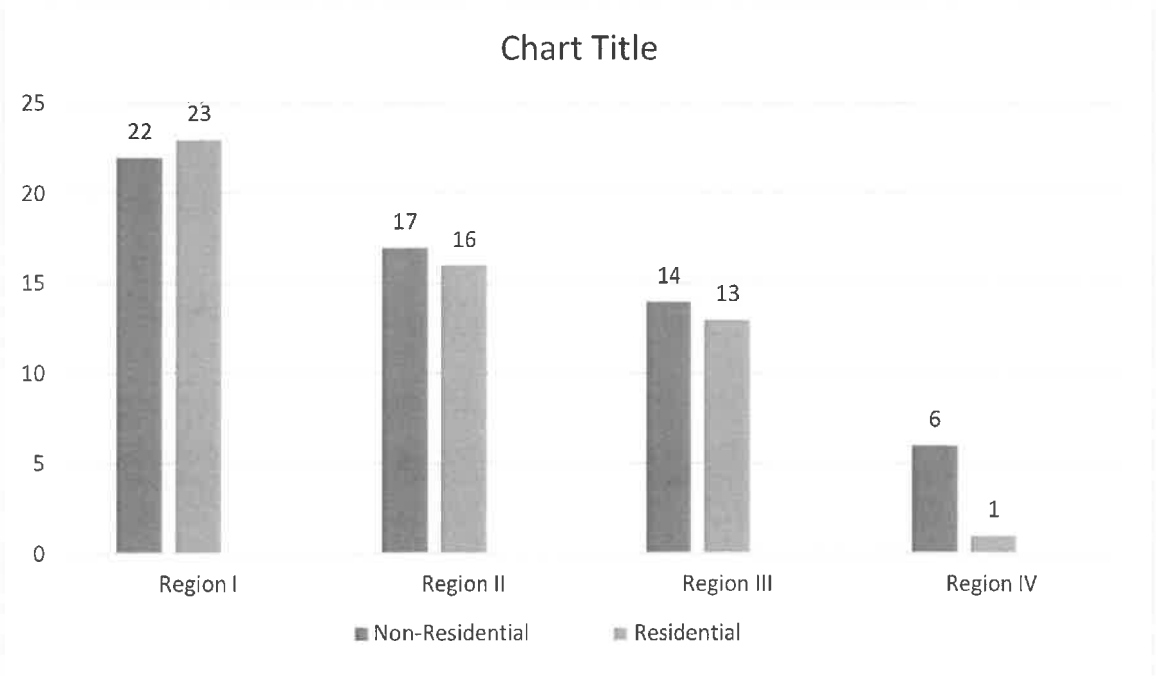
Conclusion: Settings still have some issues with community integration. There are two providers in particular whose settings may still be problematic.

Conclusion: There was much progress. With one outlier, all residential settings are in full or almost full compliance.

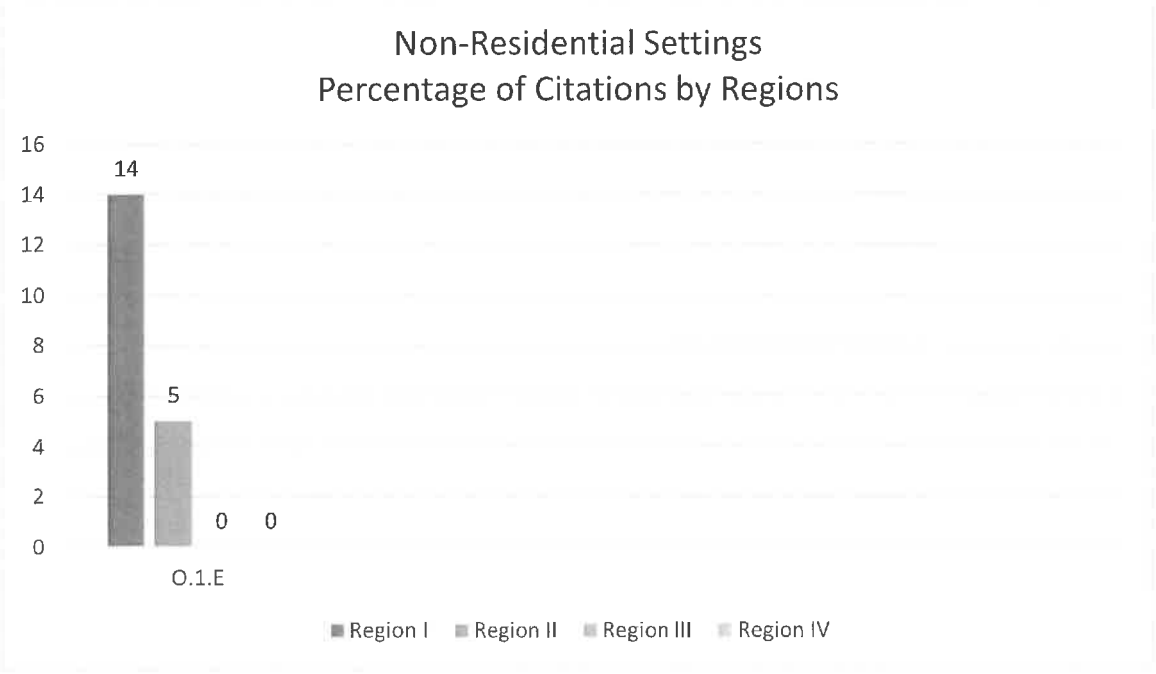
Next Steps Recommended:
Any needs for increased monitoring have been identified and addressed.

REGIONAL ANALYSIS: The QA Sub Committee recommended that a comparison/contrast of DHHR regions be completed, looking at provider settings

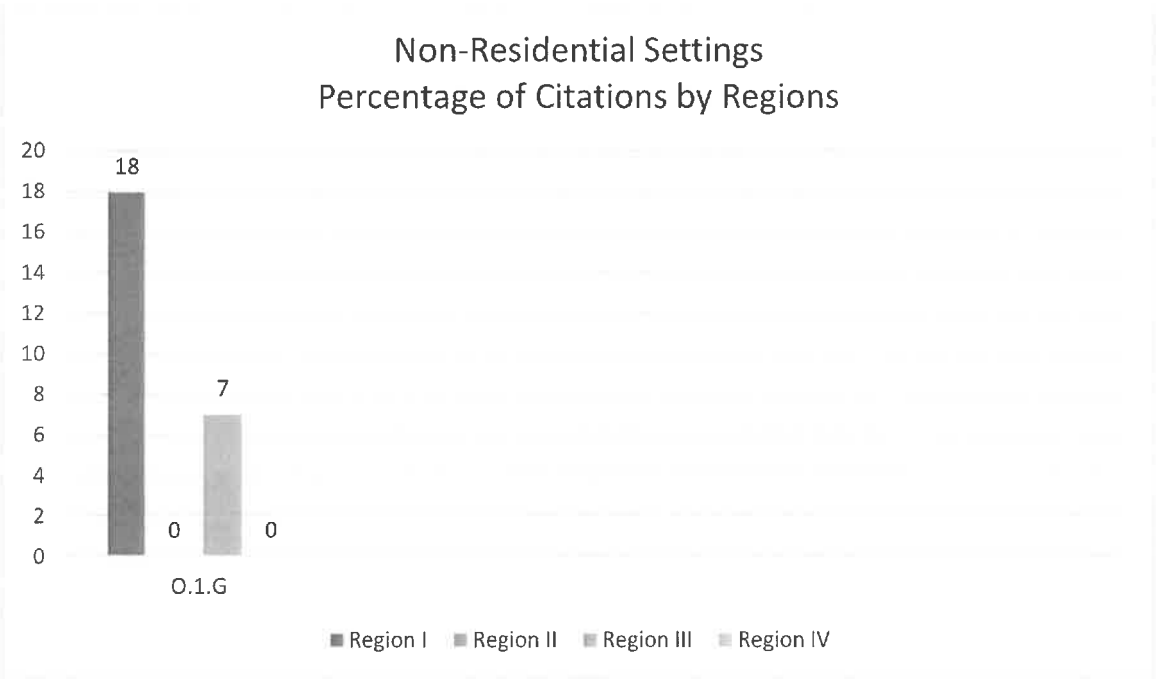
in each region for trends and training issues by region. The Non-Residential and Residential Analyses are given below.



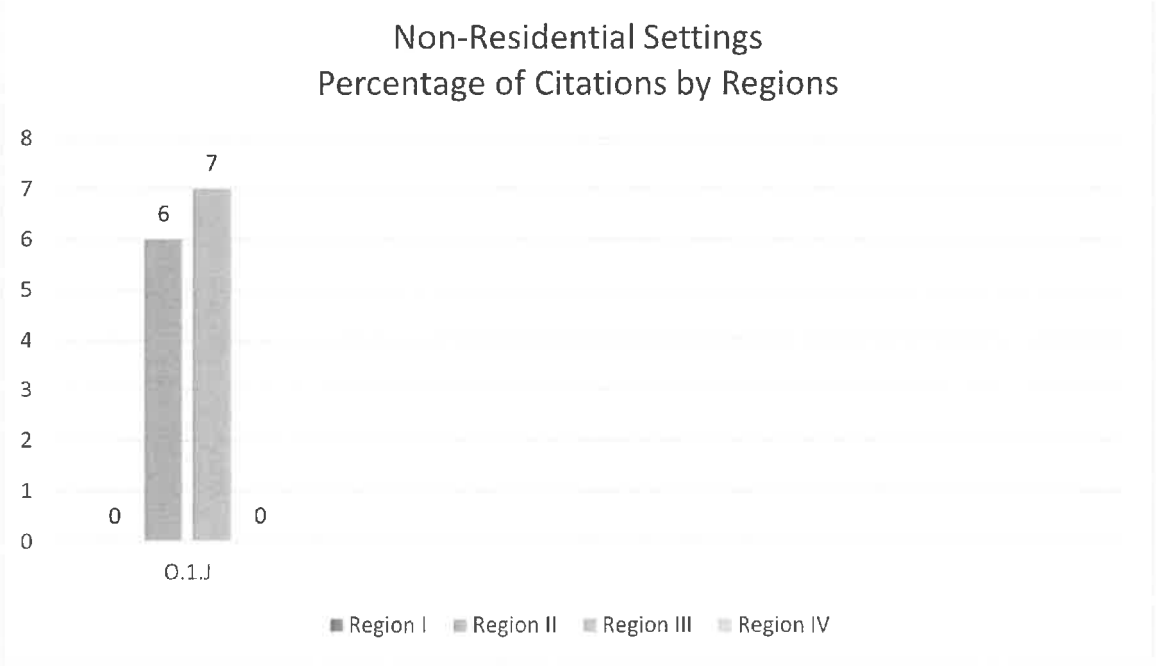
Non-Residential Analysis - There are no significant trends apparent for non-residential programs. While Region I has the greatest number of citations, it should be noted that this region is also the largest, with 22 settings in the region.



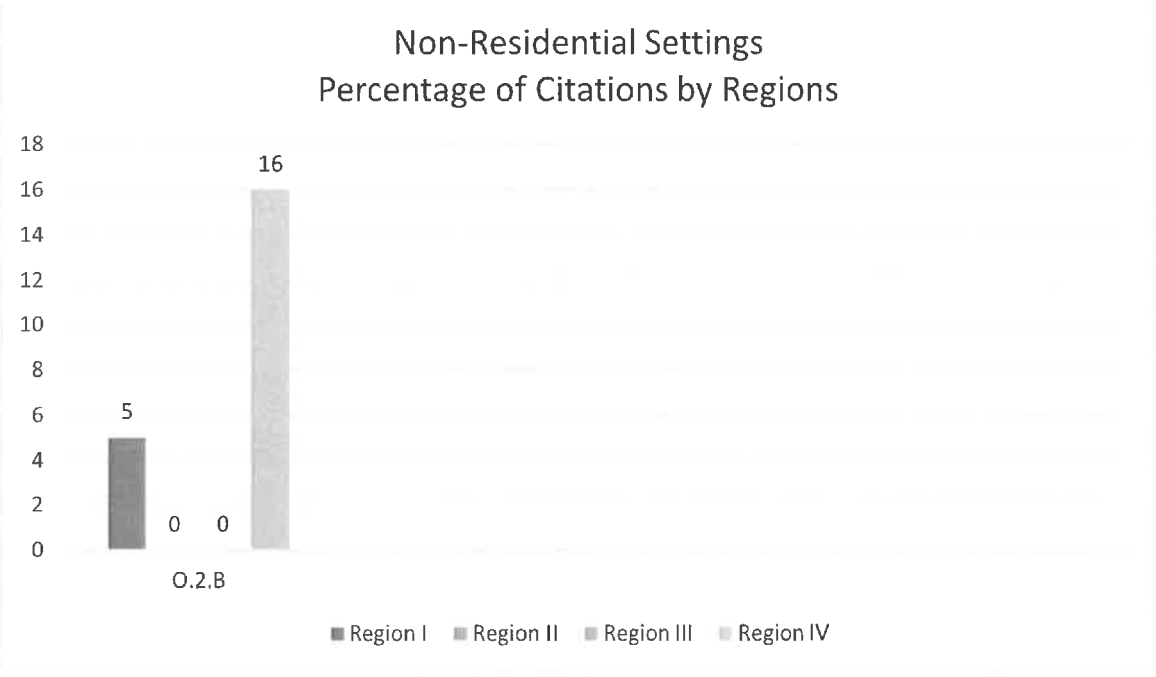
Individuals receive HCBS in an area of the setting that is fully integrated with individuals not receiving Medicaid HCBS.



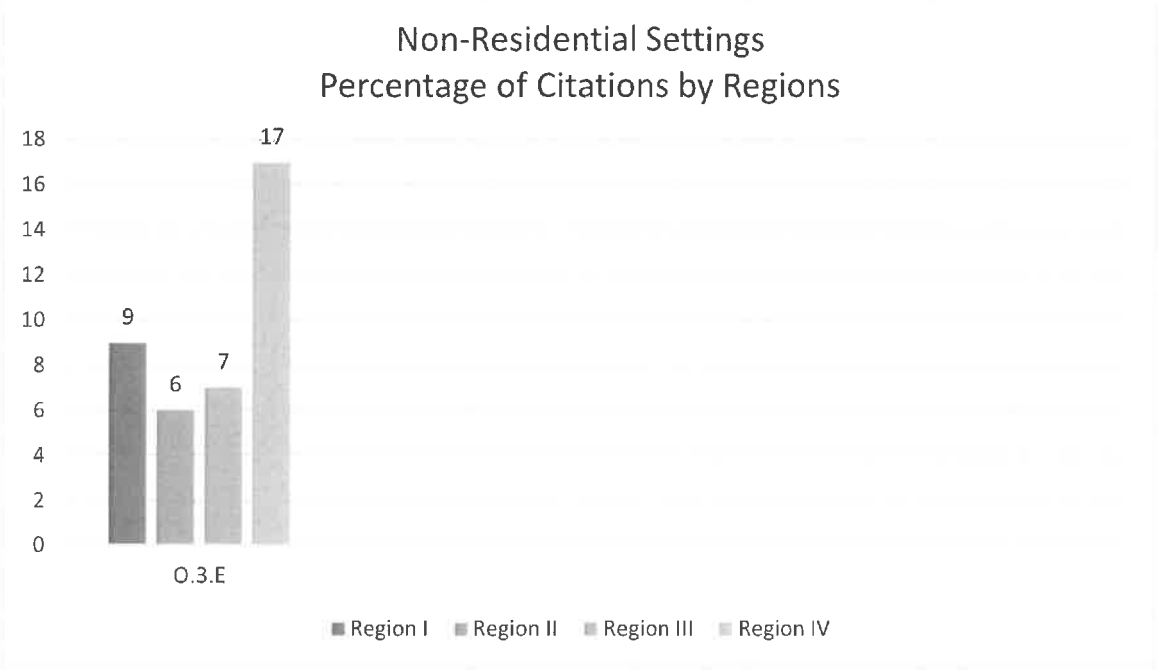
The setting encourages visitors or other people from the greater community (aside from paid staff) to be present. There is evidence that visitors have been present at regular frequencies.



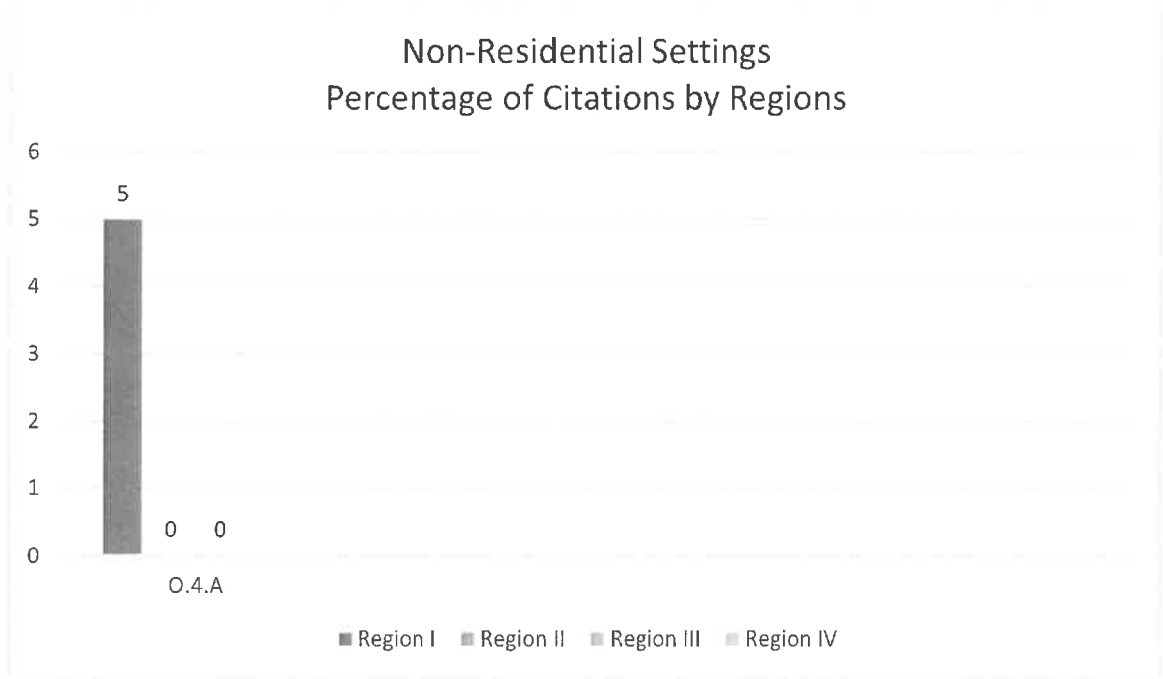
The setting provides individuals with contact information. Access to and training on the use of public transportation, such as busses, taxis, etc., and these public transportation schedules and telephone numbers are available in a convenient location.



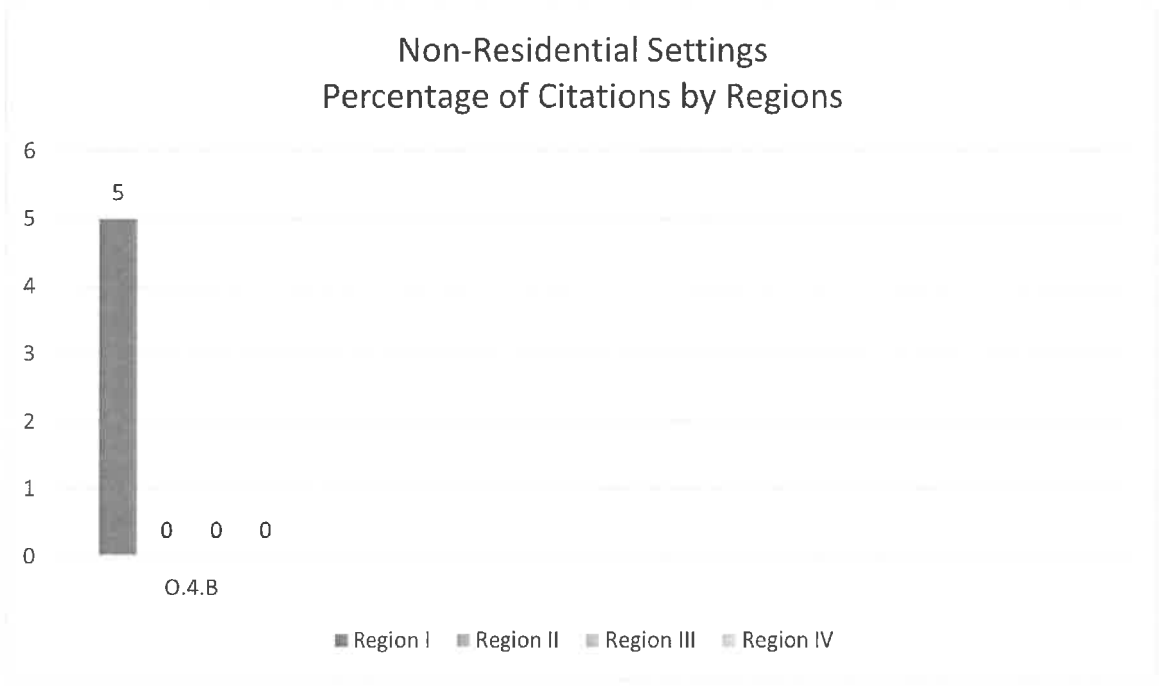
The setting assures that tasks and activities are comparable to tasks and activities for people of similar ages who do not receive HCBS services. *Note: Age Appropriate*



The setting offers a secure place for the individual to store personal belongings.

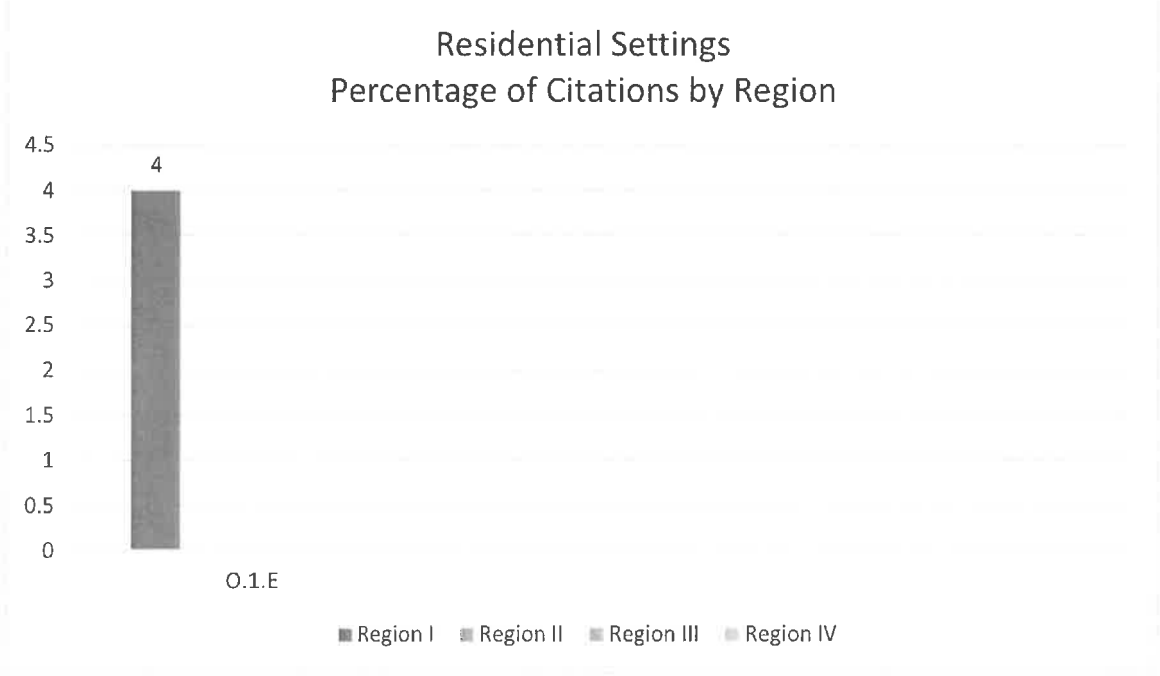


There are no gates, Velcro strips, locked doors, fences or other barriers preventing individuals' entrance to or exit from certain areas of the setting.

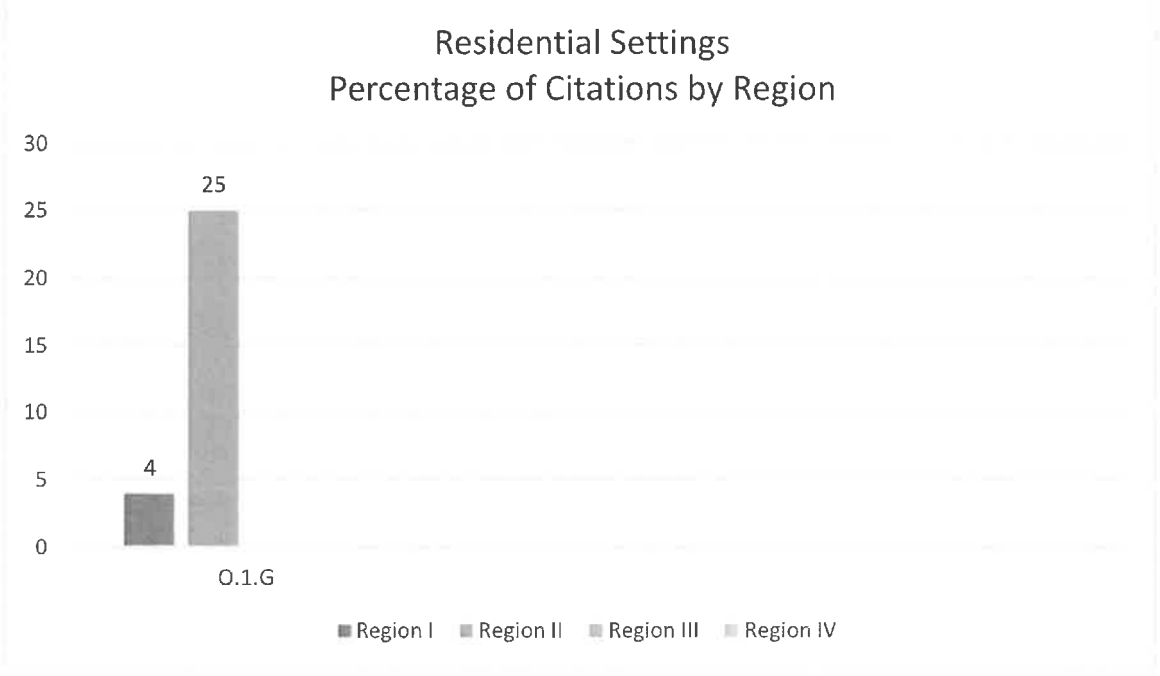


The setting affords a variety of meaningful non-work activities that are responsive to the goals, interests and needs of individuals.

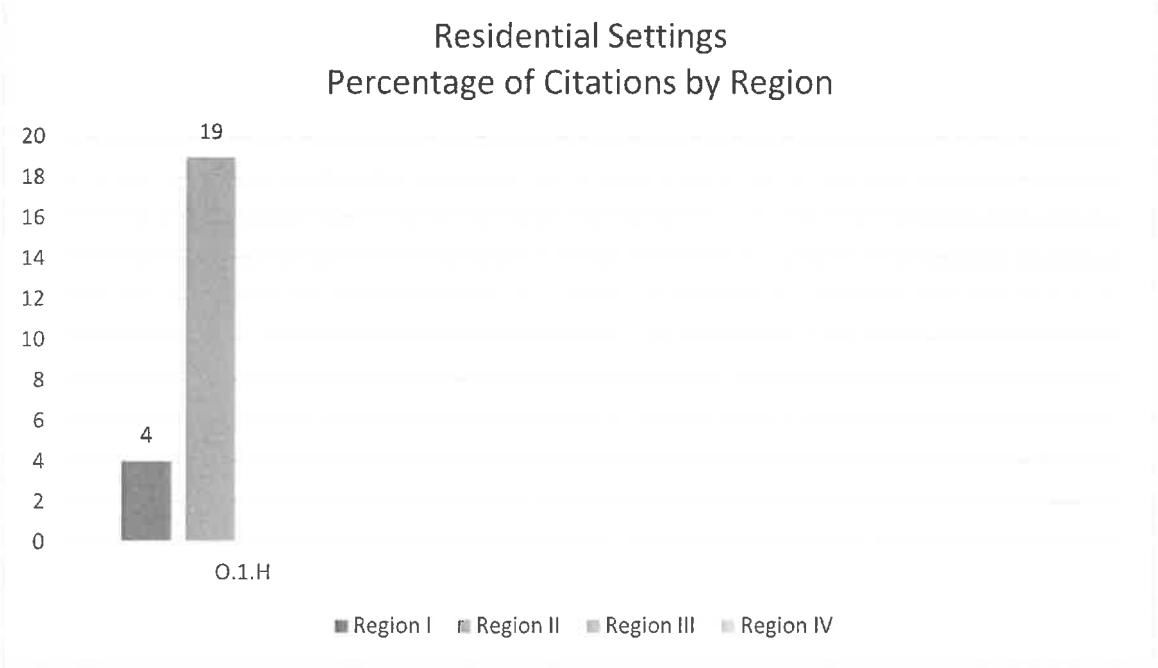
Residential Analysis - There are no significant trends apparent for residential programs. Region IV, the outlier, has only one setting in the entire region.



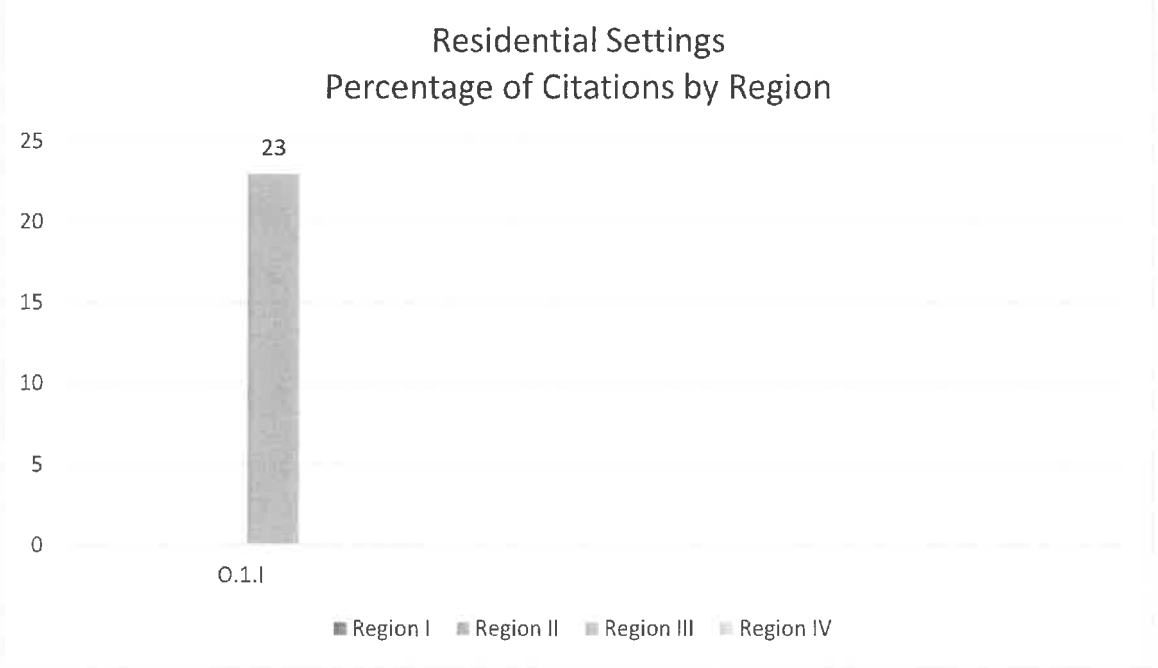
Individuals on the street greet/acknowledge individuals receiving services when they encounter them.



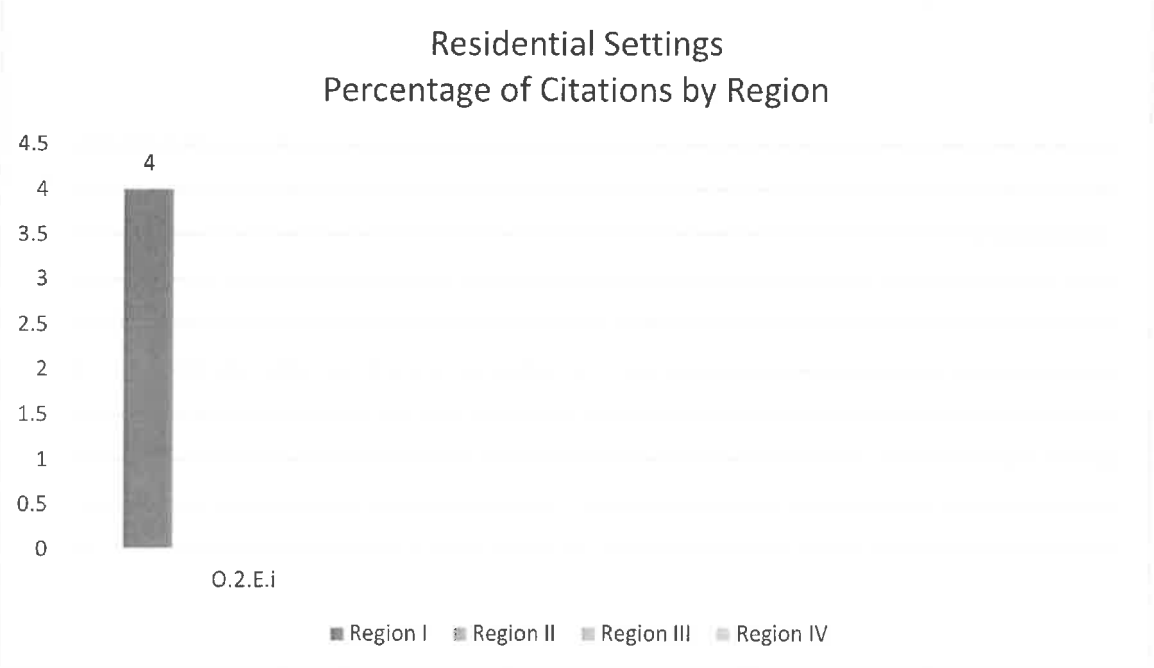
Visiting hours are posted.



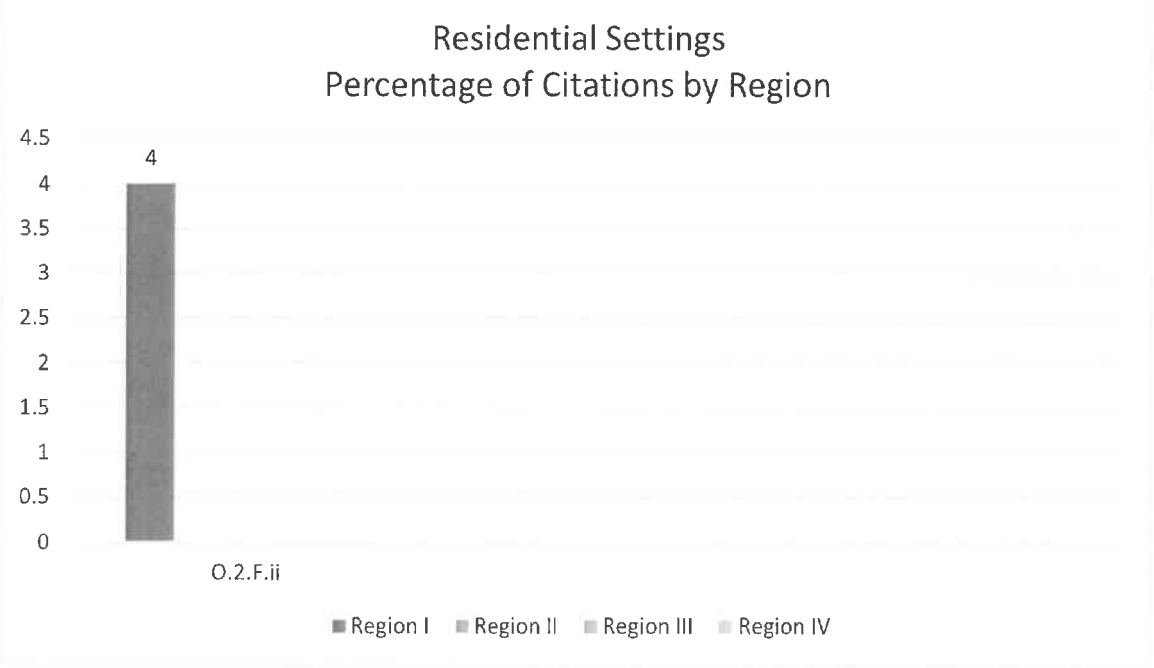
Bus and other public transportation schedules and telephone numbers are posted in a convenient location.



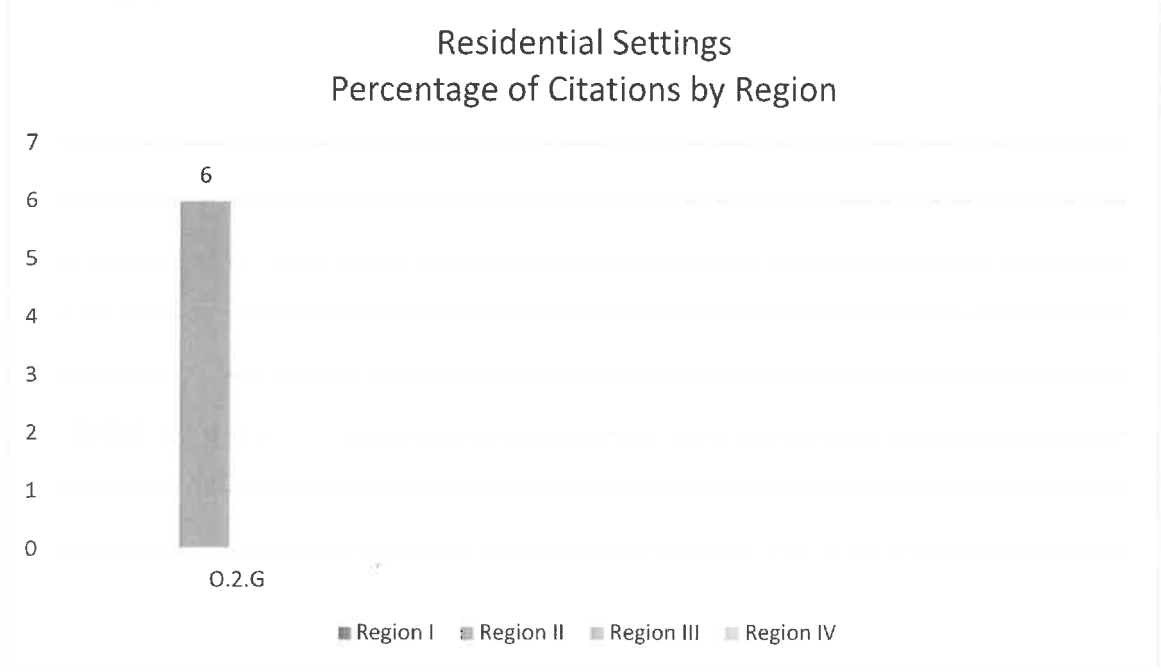
The individuals have access to materials to become aware of activities occurring outside of the setting.



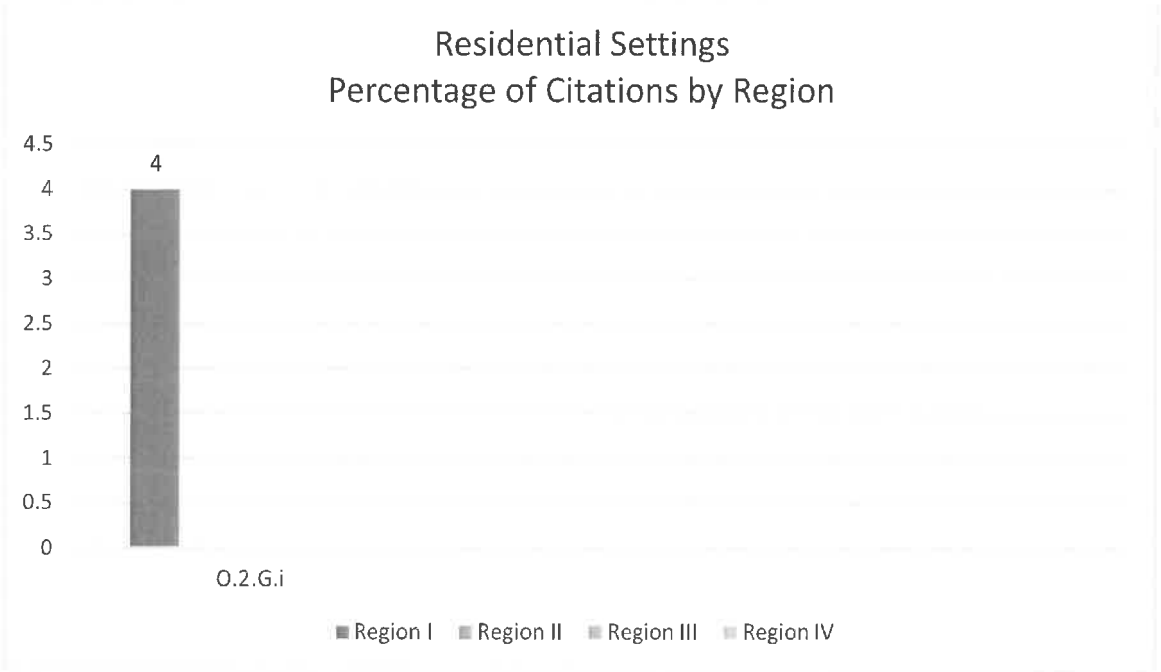
Gates, Velcro strips, locked doors, or other barriers preventing individuals' entrance to or exit from certain areas of the setting are not in evidence.



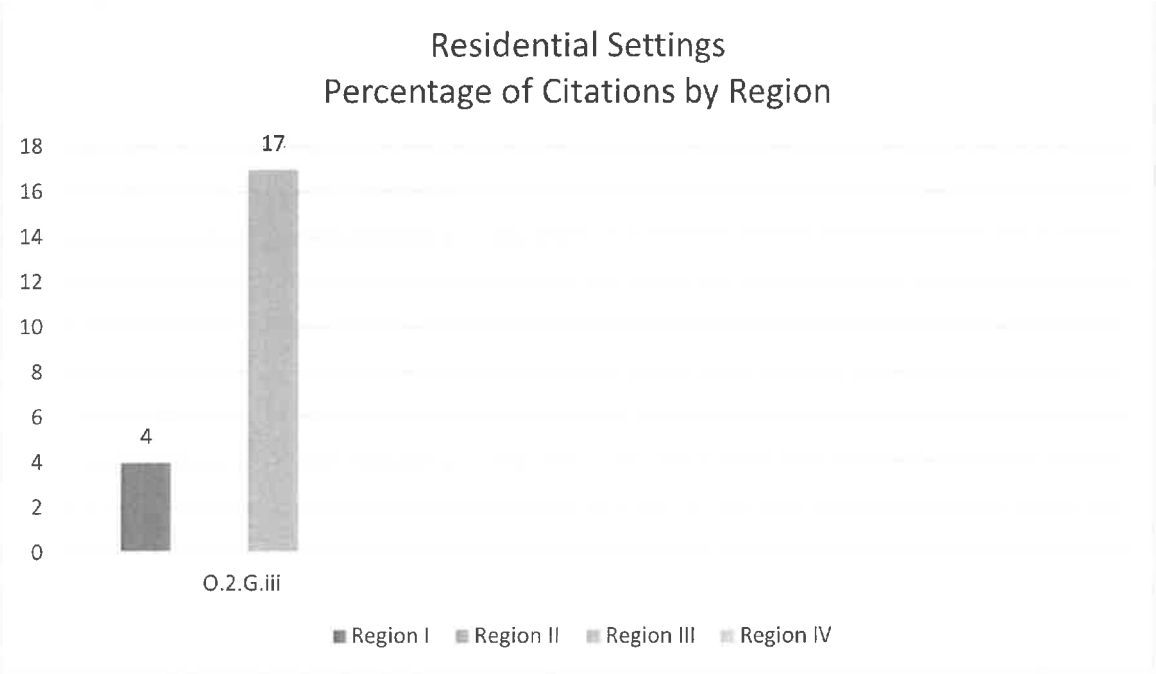
Appliances are accessible to individuals.



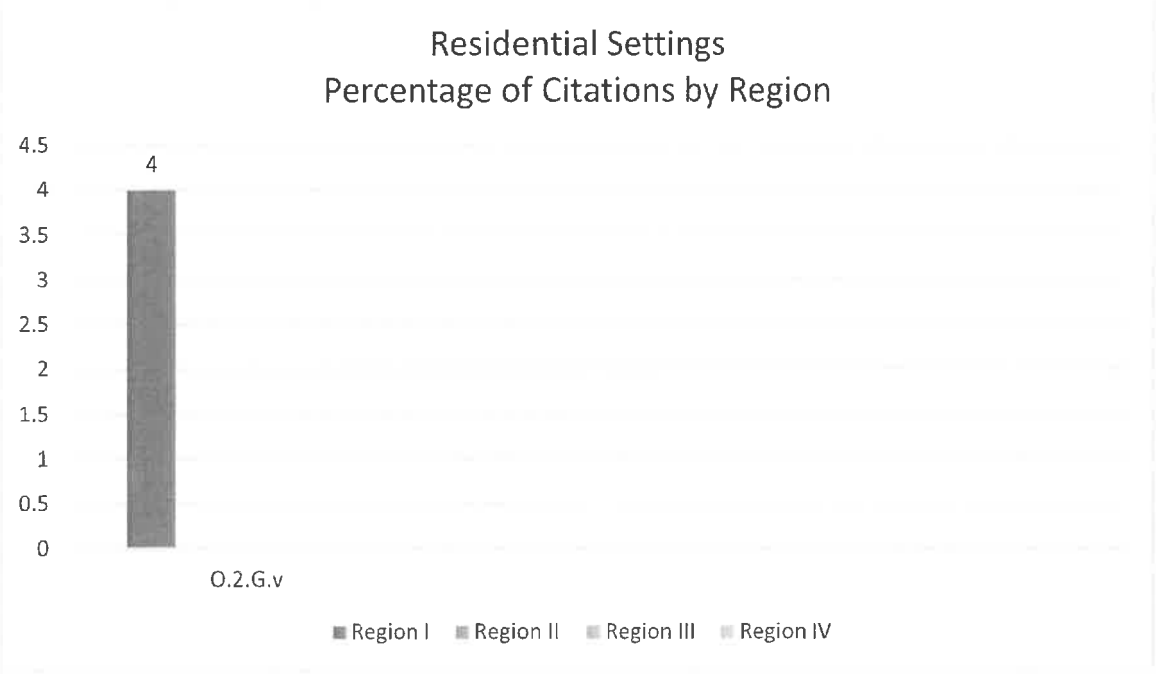
Individuals have full access to the community.



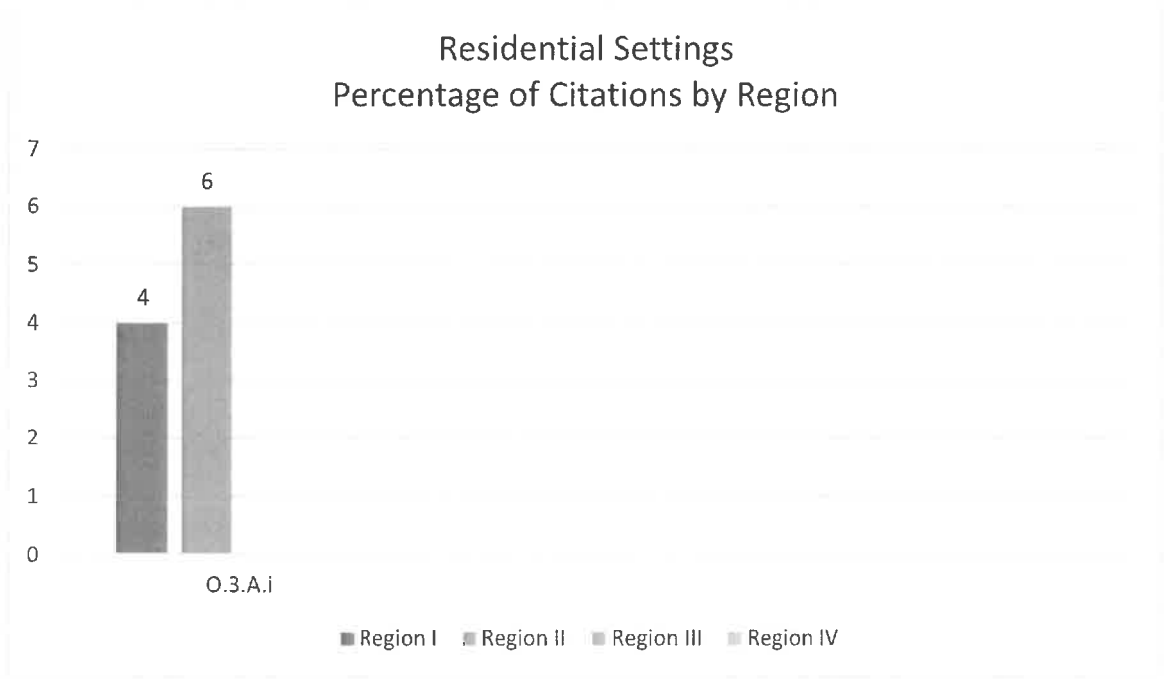
Individuals come and go at will.



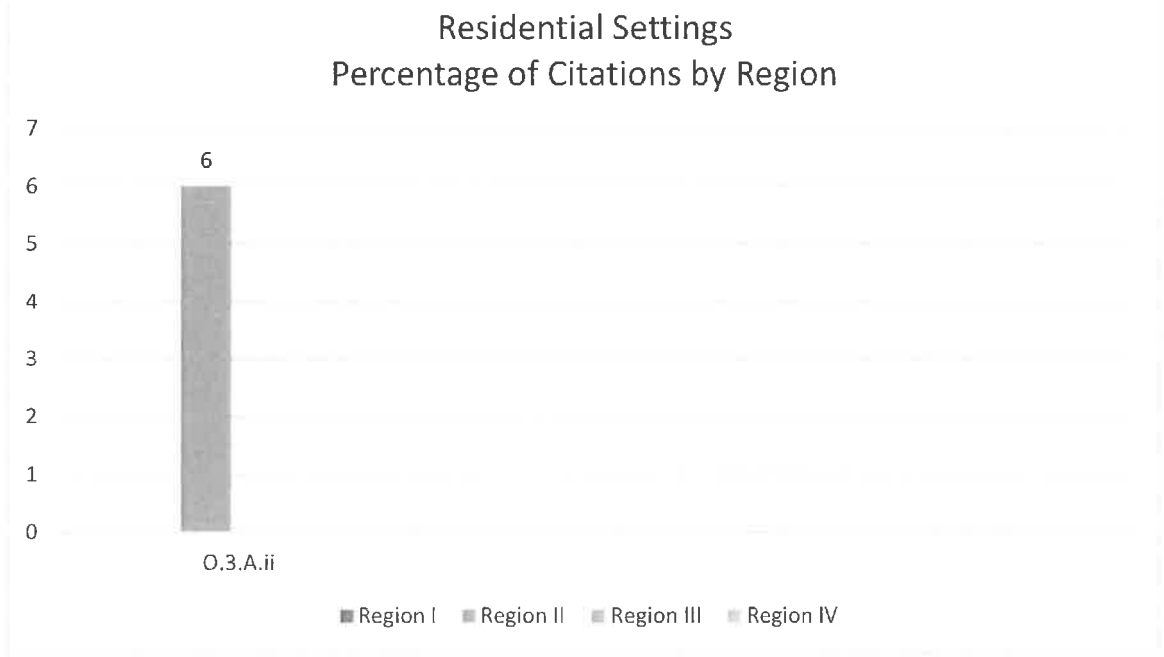
Individuals in the setting have access to public transportation.



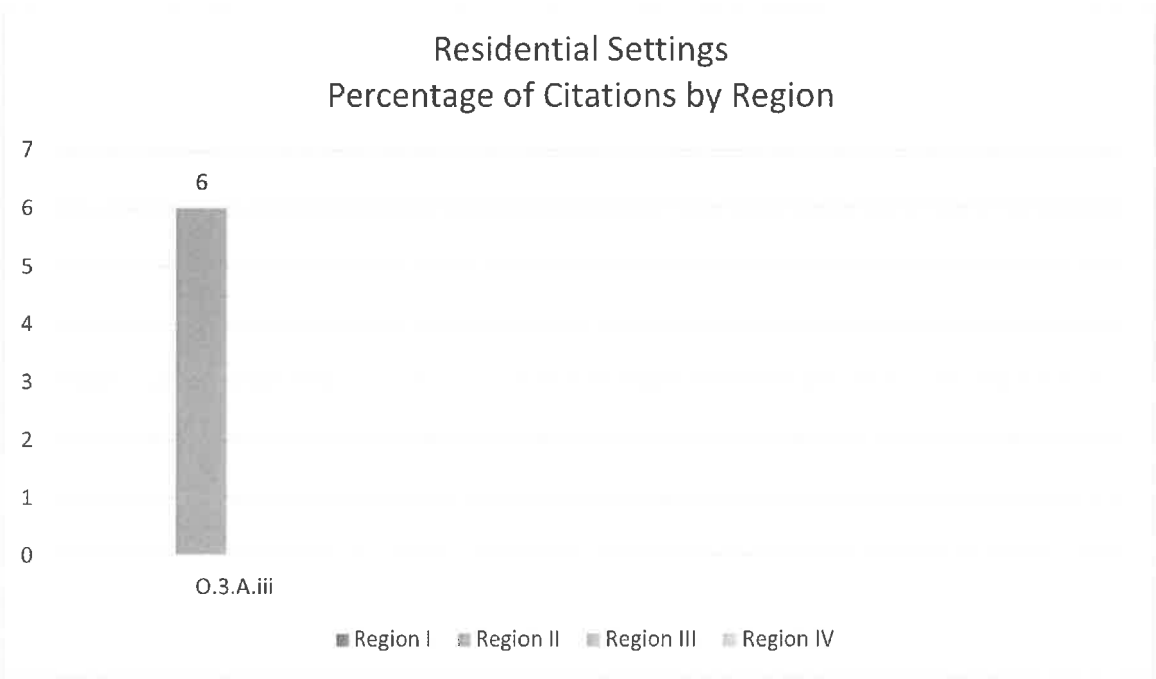
An accessible van is available to transport individuals to appointments, shopping, etc.



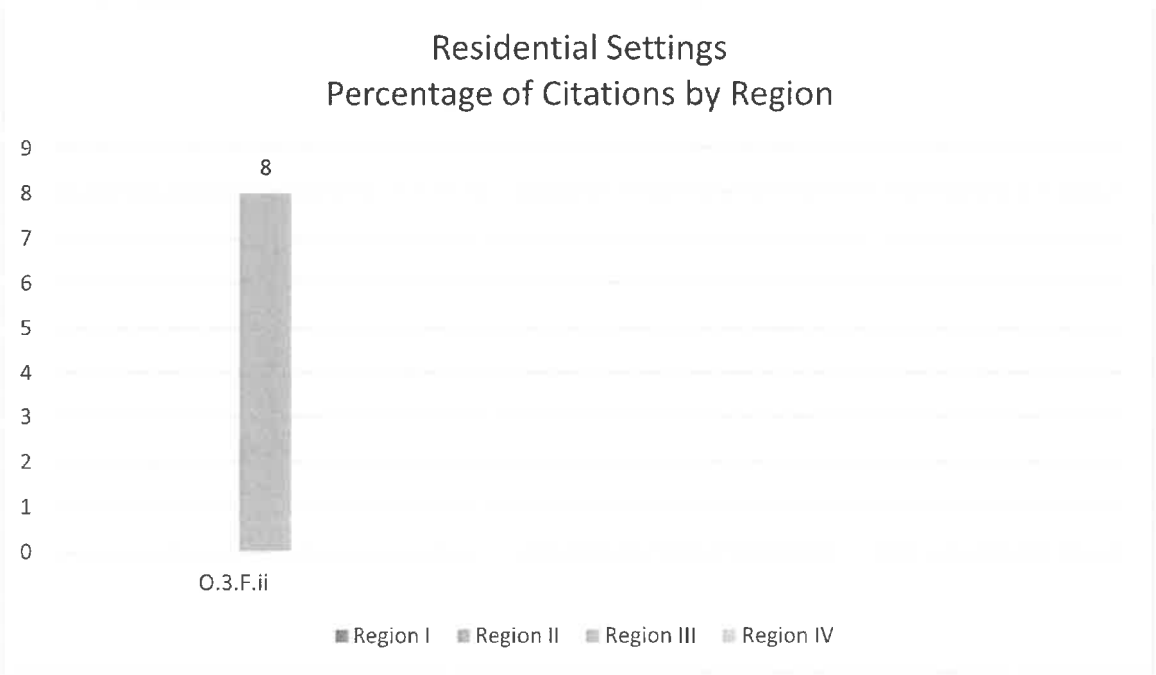
Individuals have a private cell phone, computer or other personal communication device or have access to a telephone or other technology device to use for personal communication in private at any time.



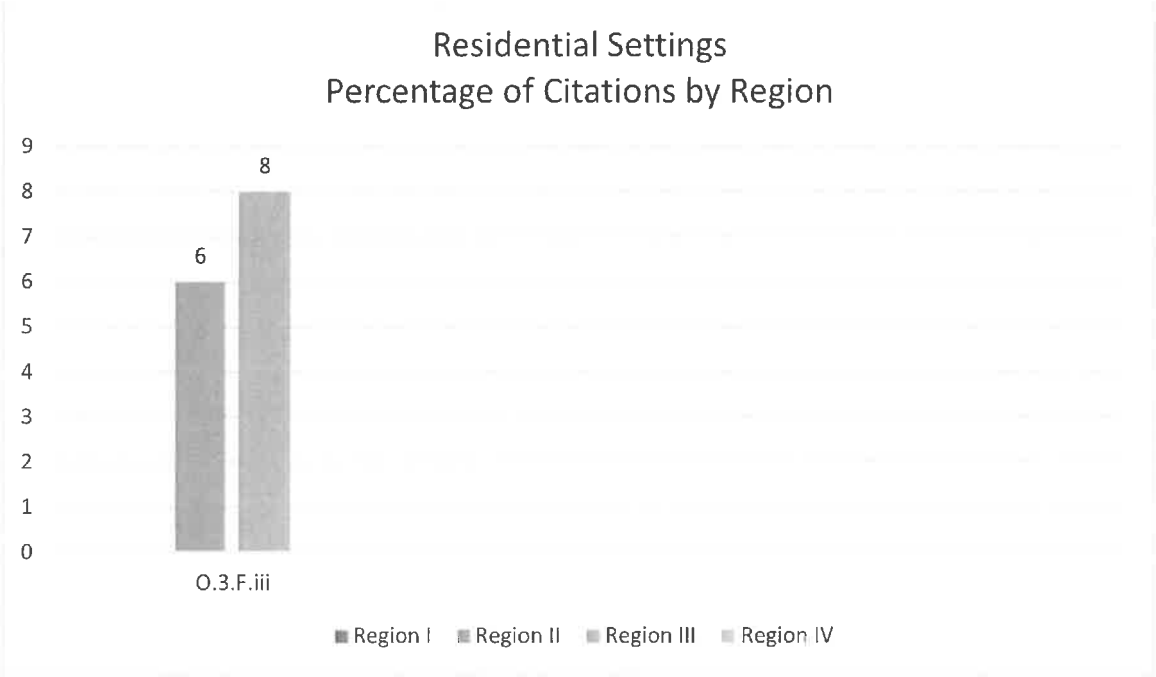
The telephone or other technology device is in a location that has space around it to ensure privacy.



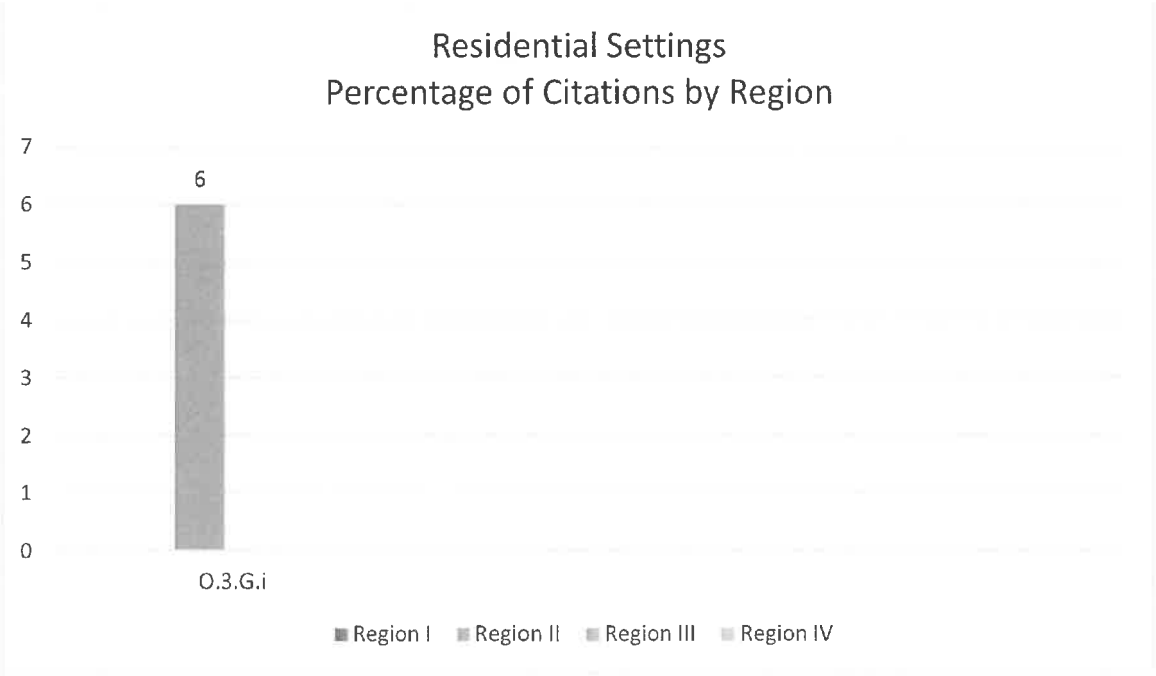
Individuals' rooms have a telephone jack, WI-FI or ETHERNET jack.



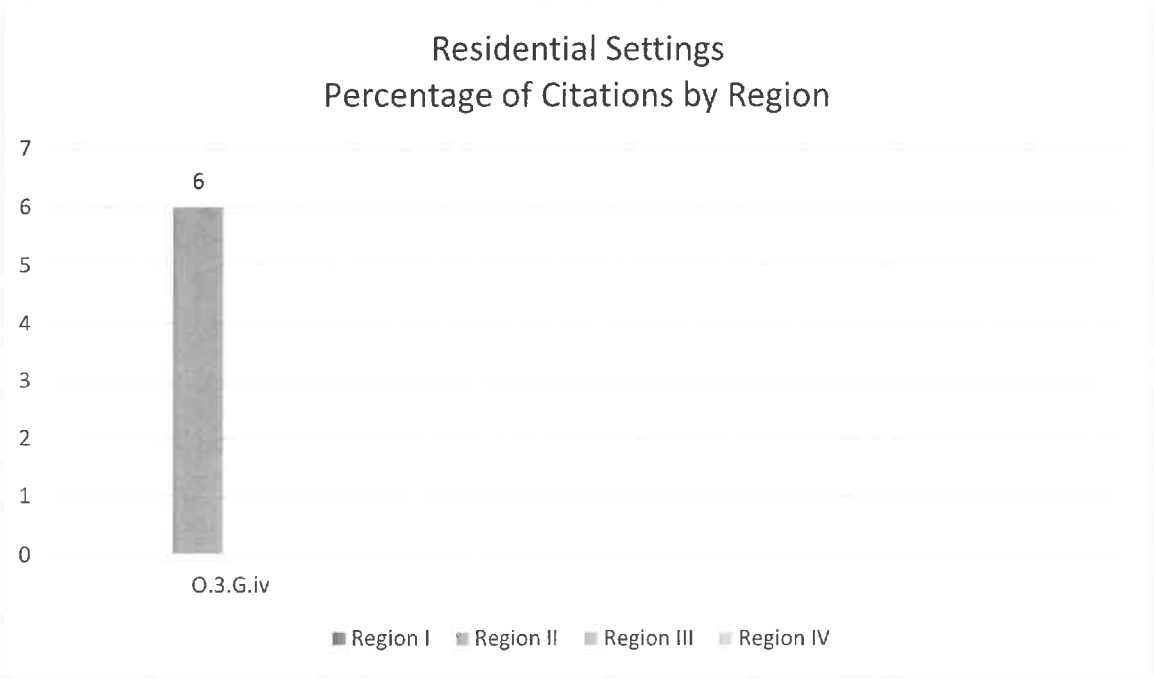
The individual can close and lock his/her bedroom door.



The individual can close and lock the bathroom door.



Cameras are present in the setting, in individual personal living spaces.



Staff only use a key to enter a personal living area or privacy space under limited circumstances agreed upon with the individual.

Appendix A

Non-RESIDENTIAL

Individuals receive HCBS in an area of the setting that is fully integrated with individuals not receiving Medicaid HCBS. O.1.E

The setting encourages visitors or other people from the greater community (aside from paid staff) to be present. There is evidence that visitors have been present at regular frequencies. (For example, customers in a pre-vocational setting). Guidance: visitors greet/acknowledge individuals receiving services with familiarity when they encounter them; visiting hours are unrestricted; the setting otherwise encourages interaction with the public). O.1.G

The setting provides individuals with contact information, access to and training on the use of public transportation, such as buses, taxis, etc., and these public transportation schedules and telephone numbers are available in a convenient location. O.1.J

The setting options offered include non-disability-specific settings, such as competitive employment in an integrated public setting, volunteering in the community, or engaging in general non-disabled community activities such as those available at a YMCA. O.2.B

The setting offers a secure place for the individual to store personal belongings. O.3.E

There are no gates, Velcro strips, locked doors, fences or other barriers preventing individuals' entrance to or exit from certain areas of the setting. O.4.A

The setting affords a variety of meaningful non-work activities that are responsive to the goals, interests and needs of individuals.
Guidance: Does the physical environment support a variety of individual goals and needs (for example, does the setting provide indoor and outdoor gathering spaces; does the setting provide for larger group activities as well as solitary activities; does the setting provide for stimulating as well as calming activities?) O.4.B

The setting posts or provides information on individual rights.
O.4.E

The setting posts or provides information to individuals about how to make a request for additional HCBS, or changes to their current HCBS. O.5.A

RESIDENTIAL

Individuals on the street greet/acknowledge individuals receiving services when they encounter them. O.1.E

Visiting hours are posted. O.1.G

Bus and other public transportation schedules and telephone numbers are posted in a convenient location. O.1.H

The individuals have access to materials to become aware of activities occurring outside of the setting. O.1.I

Gates, Velcro strips, locked doors, or other barriers preventing individual's entrance to or exit from certain areas for the setting are not in evidence. O.2.E.i

Appliances are accessible to individuals. O.2.F.ii

Individuals have full access to the community. O.2.G

Individuals come and go at will. O.2.G.i

Individuals in the setting have access to public transportation. O.2.G.iii

An accessible van is available to transport individuals to appointments, shopping, etc. O.2.G.v

Individuals have a private cell phone, computer or other personal communication device or have access to a telephone or other technology device to use for personal communication in private at any time. O.3.A.i

The telephone or other technology device is in a location that has space around it to ensure privacy. O.3.A.ii

Individuals' rooms have a telephone jack, WI-FI or ETHERNET jack. O.3.A.iii

The individual can close and lock his/her bedroom door. O.3.F ii

The individual can close and lock the bathroom door. O.3.F.iii

Cameras are present in the setting, in individual personal living spaces. O.3.G.i

Staff only use a key to enter a personal living area or privacy space under limited circumstances agreed upon with the individual. O.3.G.i