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**III. APPENDICES**

**APPENDIX A: WEST VIRGINIA CASE-MIX CLASSIFICATION AND WEIGHTING CHART** .................................................................................................................................14
I. **HOW TO USE THE CASE-MIX CLASSIFICATION WORKBOOK**

This workbook is designed to allow facilities to manually determine a resident's case-mix class using the MDS 3.0 assessment form. Location of each item on the MDS 3.0 is given in parentheses.

II. **OVERVIEW OF THE CASE-MIX CLASSIFICATION WORKBOOK**

Below is a brief overview of the steps required to determine a resident's case-mix class using the MDS 3.0 assessment form:

**STEP 1:** Calculate the Activities of Daily Living (ADL) Index Score for each resident:

The ADL Index Score is calculated from responses in the self-performance and support provided columns of Section G of the MDS 3.0. The ADL categories used to calculate the index score are Bed Mobility, Transfer, Toileting and Eating.

**STEP 2:** Place each resident into a RUG III Hierarchical Group and Case-Mix Class:

Based on clinical factors reported on the MDS 3.0, each resident is placed in one of nine RUG III Hierarchical Groups. These groups are ordered from highest to lowest as follows:

I. High Intensity Rehabilitation  
II. Medium Intensity Rehabilitation  
III. Low Intensity Rehabilitation  
IV. Extensive Care  
V. Special Care  
VI. Clinically Complex  
VII. Impaired Cognition  
VIII. Challenging Behavior  
IX. Reduced Physical Functions  

Residents begin at Group I and are checked for inclusion in each successive Hierarchical Group. Within each group, residents are placed in one of 29 case-mix classes based on their ADL Index Score (calculated in Step 1). A complete listing of the 9 Hierarchical Groups and the 29 case-mix classes can be found in Appendix A. **NOTE: RESIDENTS MAY QUALIFY FOR ONLY ONE HIERARCHICAL GROUP AND ONE CASE-MIX CLASS WITHIN THAT GROUP.**

Class assignment for the Extensive Care Hierarchical Group is based on clinical treatments received rather than ADL Index Score.

All references to Sections and numbers of the MDS 3.0 must be documented on the MDS 3.0 Quarterly Assessment Form.
STEP 1: CALCULATE THE ADL INDEX SCORE

To calculate the ADL Index Score, self-performance and support provided responses for Bed Mobility, Transfer, Toileting and Eating in Section G of the MDS 3.0 will be converted into ADL Index Scores by the following procedure:

A. BED MOBILITY ADL SCORE:

Find the Bed Mobility self-performance and support provided responses in Section G0110(A1) and G0110(A2), respectively, of the MDS 3.0 and write them here:

   Bed Mobility Self-Performance  
   Bed Mobility Support Provided

This step converts these responses to an ADL score based on one of the following statements:

   If the self-performance response is 8, the support provided response must be 8, mark "1" on this line.

   If the self-performance response is 0, 1 or 7, mark "1" on this line.

   If the self-performance response is 2, mark "3" on this line.

   If the self-performance is 3 or 4, AND the support provided response is 0, 1 or 2, mark "4" on this line.

   If the self-performance is 3 or 4, AND the support provided response is 3, mark "5" on this line.

Transfer the **ONE** number written on the above five lines to Line A. This is the resident's **BED MOBILITY ADL SCORE**. (Line A)
B. TRANSFER ADL SCORE

Find the Transfer self-performance and support provided responses in Section G0110(B1) and G0110(B2), respectively, of the MDS 3.0 and write them here:

Transfer Self-Performance

Transfer Support Provided

This step converts these responses to an ADL score based on one of the following statements:

If the self-performance response is 8, the support provided response must be 8, mark "1" on this line.

If the self-performance response is 0, 1 or 7, mark "1" on this line.

If the self-performance response is 2, mark "3" on this line.

If the self-performance response is 3 or 4, AND the support provided response is 0, 1 or 2, mark "4" on this line.

If the self-performance response is 3 or 4, AND the support provided response is 3, mark "5" on this line.

Transfer the ONE number written on the above five lines to Line B. This is the resident's TRANSFER ADL SCORE. (Line B)

C. TOILETING ADL SCORE

Find the Toileting self-performance and support provided responses in Section G0110(I1) and G0110(I2), respectively, of the MDS 3.0 and write them here:

Toileting Self-Performance

Toileting Support Provided

This step converts these responses to an ADL score based on one of the following statements:

If the self-performance response is 8, the support provided response must be 8, mark "1" on this line.

If the self-performance response is 0, 1 or 7, mark "1" on this line.

If the self-performance response is 2, mark "3" on this line.

If the self-performance response is 3 or 4, AND the support provided response is 0, 1 or 2, mark "4" on this line.

If the self-performance response is 3 or 4, AND the support provided response is 3, mark "5" on this line.

Transfer the ONE number written on the above five lines to Line C. This is the resident's TOILETING ADL SCORE. (Line C)
D. EATING ADL SCORE

Find the Eating self-performance response in Section G0110(H1) of the MDS 3.0 and write it below. Also determine if the resident receives Parenteral/IV Feeding or Feeding Tube by looking at responses to K0510(A1) and K0510(A2) for Parenteral/IV and K0510(B1) and K0510(B2) for Feeding Tube on the MDS 3.0.

Eating Self-Performance

This step converts this response to an ADL score based on one of the following statements:

If the self-performance response is 8, AND K0510(A1), K0510(A2), K0510(B1) and K0510(B2) are NOT checked, mark "1" on this line.

If the self-performance response is 0, 1 or 7, AND K0510(A1), K0510(A2), K0510(B1) and K0510(B2) are NOT checked, mark "1" on this line.

If the self-performance response is 2, AND K0510(A1), K0510(A2), K0510(B1) and K0510(B2) are NOT checked, mark "2" on this line.

If the self-performance response is 3 or 4, AND K0510(A1), K0510(A2), K0510(B1) and K0510(B2) are NOT checked, mark "3" on this line.

If the self-performance response is 0, 1, 2, 3, 4, 7 or 8, AND K0510(A1), K0510(A2), K0510(B1) or K0510(B2) are checked, mark "3" on this line.

Transfer the ONE number written on the above four lines to Line D. This is the resident's EATING ADL SCORE. (Line D)

E. ADL INDEX SCORE

From Steps A-D above, write the ADL scores as follows:

Bed Mobility ADL Score (Line A)

Transfer ADL Score (Line B)

Toileting ADL Score (Line C)

Eating ADL Score (Line D)

Sum these four lines (Lines A-D) to get the resident's ADL INDEX SCORE.

This ADL Index Score will be used in Step 2 to determine a resident’s case-mix class within a hierarchical group.
STEP 2: DETERMINE THE RESIDENT’S RUG III HIERARCHICAL GROUP AND CASE-MIX CLASS

The next step after calculating the ADL Index Score is to determine the resident's Hierarchical Group and Case-Mix Class.

Each resident is placed into **ONLY ONE** of West Virginia's 29 case-mix classes. The classes are ordered from heavy care (e.g., Class 1 or 10) to light care (e.g., Class 29). Residents are placed into the highest class for which they qualify. **(AS SOON AS A RESIDENT IS PLACED IN A CLASS, STOP).**

Before proceeding to the following hierarchical groups, identify if the MDS 3.0 identifies the presence of Parenteral/IV Feeding (K0510(A1)/K0510(A2)), Suctioning (O0100(D1)/O0100(D2)) **AND** Tracheostomy Care (O0100(E1)/O0100(E2)). If these three conditions are present and the ADL score is equal to or greater than 7, go directly to Special Care Group, IV, Extensive Care Classes. If all three conditions are applicable, the case-mix class is Class 10 (Extensive Special Care 3). **STOP.**

**REHABILITATION GROUP**

On the MDS 3.0, Section O0400, enter the total number of minutes of rehabilitation therapy a resident receives individually, concurrently and as part of a group in the last 7 days. Enter the total number of days of rehabilitation therapy a resident receives in the last 7 days. Rehabilitation therapy includes speech-language pathology, occupational and physical therapy.

Sum down columns A and B to determine the total number of days and the total number of minutes the resident received therapy.

<table>
<thead>
<tr>
<th>Column A</th>
<th>Column B</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Therapy</strong></td>
<td><strong>Therapy</strong></td>
</tr>
<tr>
<td><strong>Days</strong></td>
<td><strong>Minutes</strong></td>
</tr>
<tr>
<td>Speech-Language</td>
<td>O0400(A4)</td>
</tr>
<tr>
<td>Occupational Therapy</td>
<td>O0400(B4)</td>
</tr>
<tr>
<td>Physical Therapy</td>
<td>O0400(C4)</td>
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<tr>
<td><strong>TOTAL</strong></td>
<td></td>
</tr>
</tbody>
</table>
I. HIGH INTENSITY REHABILITATION CLASSES

Based on the previous calculations, check if the following three statements are true:

1. Total therapy minutes are 300 or more

2. The resident received at least \textbf{ONE} type of therapy for 5 or more days, (i.e. S-TOTAL OR O-TOTAL OR P-TOTAL is 5 or 6 or 7)

3. The resident received at least 2 of the 3 therapy types (regardless of the number of days or number of minutes it was received)

If \textbf{ALL 3} of the above statements are true and the resident's ADL Index Score is 15-18, the resident is in case-mix \textbf{Class 1 (High Intensity Rehabilitation D)}.

If \textbf{ALL 3} of the above statements are true and the resident’s ADL Index Score is 12-14, the resident is in case-mix \textbf{Class 2 (High Intensity Rehabilitation C)}.

If \textbf{ALL 3} of the above statements are true and the resident's ADL Index Score is 8-11, the resident is in case-mix \textbf{Class 3 (High Intensity Rehabilitation B)}.

If \textbf{ALL 3} of the above statements are true and the resident's ADL Index Score is 4-7, the resident is in case-mix \textbf{Class 4 (High Intensity Rehabilitation A)}.

IF THE RESIDENT IS PLACED IN \textbf{ONE} OF THE ABOVE CLASSES 1-4, \textbf{STOP}. IF THE RESIDENT WAS NOT PLACED, CONTINUE TO SECTION II.

II. MEDIUM INTENSITY REHABILITATION CLASSES

Based on the previous calculations, check if the following two statements are true:

1. Total therapy minutes are 150 or more

2. The resident's total days of therapy received (from total of O0400(A4), O0400(B4) and O0400(C4)) is 5 or more

If \textbf{BOTH} of the above statements are true and the resident's ADL Index Score is 16-18, the resident is in case-mix \textbf{Class 5 (Medium Intensity Rehabilitation C)}.

If \textbf{BOTH} of the above statements are true and the resident's ADL Index Score is 8-15, the resident is in case-mix \textbf{Class 6 (Medium Intensity Rehabilitation B)}.

If \textbf{BOTH} of the above statements are true and the resident's ADL Index Score is 4-7, the resident is in case-mix \textbf{Class 7 (Medium Intensity Rehabilitation A)}.

IF THE RESIDENT IS PLACED IN \textbf{ONE} OF THE ABOVE CLASSES 5-7, \textbf{STOP}. IF THE RESIDENT WAS NOT PLACED, CONTINUE TO SECTION III.
III. LOW INTENSITY REHABILITATION CLASSES

Based on the previous calculations, check if any of the following are true:

1. Total therapy minutes are 45 or more

2. The resident's total days of therapy received (from total of O0400(A4), O0400(B4) and O0400(C4)) is 3 or more

3. Any TWO of the following nursing rehabilitation activities is coded 5, 6 or 7 on the MDS 3.0, Section O0500. Check which activities are coded 5, 6 or 7:

   - Range of Motion (Passive) (O0500(A))
   - Range of Motion (Active) (O0500(B))
   - Splint or Brace Assistance (O0500(C))
   - Training in Bed Mobility (O0500(D))
   - Training in Transfer (O0500(E))
   - Training in Walking (O0500(F))
   - Training in Dressing and/or Grooming (O0500(G))
   - Training in Eating and/or Swallowing (O0500(H))
   - Training in Amputation/Prostheses Care (O0500(I))
   - Training in Communication (O0500(J))

If **ALL 3** of the above statements are true and the resident's ADL Index Score is 12-18, the resident is in case-mix **Class 8 (Low Intensity Rehabilitation B)**.

If **ALL 3** of the above statements are true and the resident's ADL Index Score is 4-11, the resident is in case-mix **Class 9 (Low Intensity Rehabilitation A)**.

IF THE RESIDENT IS PLACED IN **ONE** OF THE ABOVE CLASSES 8-9, **STOP**. IF THE RESIDENT WAS NOT PLACED, CONTINUE TO SECTION IV.
SPECIAL CARE GROUP

IV. EXTENSIVE CARE CLASSES

To qualify for this group, residents must have an ADL Index Score of 7 or more. If a resident receives any of the following 3 treatments or exhibits any of the 10 conditions listed under V. SPECIAL CARE CLASSES but has an ADL Index Score of less than 7, STOP. SKIP this section and go to VI. CLINICALLY COMPLEX.

On the MDS 3.0, determine if the following items are checked, indicating that the resident receives any or all of the following 3 treatments:

1. Parenteral/IV Feeding (K0510(A1) or K0510(A2))

2. Suctioning (O0100(D1) or O0100(D2))

3. Tracheostomy Care (O0100(E1) or O0100(E2))

If the resident received ALL 3 of the above treatments and has an ADL Index Score of 7 or more, the resident is in case-mix Class 10 (Extensive Special Care 3).

If the resident received ANY 2 of the above treatments and has an ADL Index Score of 7 or more, the resident is in case-mix Class 11 (Extensive Special Care 2).

If the resident received ANY 1 of the above treatments and has an ADL Index Score of 7 or more, the resident is in case-mix Class 12 (Extensive Special Care 1).

IF THE RESIDENT IS PLACED IN ONE OF THE ABOVE CLASSES 10-12, STOP. IF THE RESIDENT WAS NOT PLACED, CONTINUE TO SECTION V.
V. SPECIAL CARE CLASSES

On the MDS 3.0, determine if any of the following items are checked, indicating that the resident exhibits one or more of the following 10 conditions:

1. Second or third-degree burns (M1040(F))
2. Coma (B0100)
3. Fever (J1550(A)), combined with any or all of the following: vomiting (J1550(B)), or weight loss (K0300), or pneumonia (I2000) or dehydration (J1550(C))
4. Multiple sclerosis (MS) (I5200)
5. Pressure ulcers at stage 3 or 4 M0300(C1) or M0300(D1) OR the following three unstageable ulcers, if present, M0300(E1) or M0300(F1) or M0300(G1)
6. Quadriplegia (I5100)
7. Septicemia (I2100)
8. IV Medications (O0100(H1) or O0100(H2))
9. Radiation (O0100(B1) or O0100(B2))
10. Feeding Tube (K0510(B1) or K0510(B2))

If the resident has ANY of the above 10 conditions and an ADL Index Score of 17-18, the resident is in case-mix Class 13 (Special Care C).

If the resident has ANY of the above 10 conditions and an ADL Index Score of 14-16, the resident is in case-mix Class 14 (Special Care B).

If the resident has ANY of the above 10 conditions and an ADL Index Score of 7-13, the resident is in case-mix Class 15 (Special Care A).

IF THE RESIDENT IS PLACED IN ONE OF THE ABOVE CLASSES 13-15, STOP. IF THE RESIDENT WAS NOT PLACED, CONTINUE TO SECTION VI.
VI. CLINICALLY COMPLEX CLASSES

Residents who have any of the conditions or treatments required for the SPECIAL CARE group, but who's ADL Index Score is less than 7, are placed in Clinically Complex Class B or A.

On the MDS 3.0, determine if any of the following items are checked, indicating that the resident exhibiting one or more of the following 14 conditions:

1. Aphasia (I4300)
2. Cerebral Palsy (I4400)
3. Hemiplegia or Hemiparesis (I4900)
4. Pneumonia (I2000)
5. Venous and arterial ulcer (M1030)
6. Prognosis (J1400)
7. Chemotherapy (O0100(A1) or O0100(A2))
8. Dialysis (O0100(J1) or O0100(J2))
9. Physician examinations (O0600) (2 or more visits)
10. Respiratory therapy (O0400(D2)) (5 or more days)
11. Oxygen therapy (O0100(C1) or O0100(C2))
12. Open lesions other than ulcers, rashes, cuts (M1040(D)) and application of non-surgical dressings (M1200(G))
13. Surgical wounds (M1040(E)) and surgical wound dressing (M1200(F))
14. Infection of the foot (M1040(A)), or open lesions on the foot (M1040(C)) or diabetic foot ulcer (M1040(B)), and application of dressings to feet (M1200(I))

If a resident has ANY of the above 14 conditions and an ADL Index Score of 17-18, the resident is in case-mix Class 16 (Clinically Complex D).

If a resident has ANY of the above 14 conditions and an ADL Index Score of 11-16, the resident is in case-mix Class 17 (Clinically Complex C).
If a resident has ANY of the above 14 conditions OR any of the 3 treatments or 10 conditions listed under SPECIAL CARE and an ADL Index Score of 6-10, the resident is in case-mix Class 18 (Clinically Complex B).

If a resident has ANY of the above 14 conditions OR any of the 3 treatments or 10 conditions listed under SPECIAL CARE and an ADL Index Score of 4-5, the resident is in case-mix Class 19 (Clinically Complex A).

IF THE RESIDENT IS PLACED IN ONE OF THE ABOVE CLASSES 16-19, STOP. IF THE RESIDENT WAS NOT PLACED, CONTINUE TO SECTION VII.

IMPAIRED COGNITION AND/OR CHALLENGING BEHAVIOR GROUP

VII. IMPAIRED COGNITION CLASSES

Step 1. If a resident has an ADL Index Score of 12 or greater, the resident will not qualify for the Impaired Cognition or Challenging Behavior Group. SKIP this section and go to Section IX. Reduced Physical Functions.

If a resident has an ADL Index Score of 11 or less, go to Step 2.

Step 2. If C0100 = 1, complete the Brief Interview for Mental Status (BIMS) section of the MDS 3.0. If C0500 is 0-15, SKIP the remainder of this Step and go to Section VIII. Challenging Behavior. If the resident exhibits any of the five behaviors, complete the algorithm and place the resident in the applicable case-mix class and STOP. If the resident does not exhibit any of the five behaviors, return to Section VII. Impaired Cognition and complete Step 3.

If C0100 = 0 OR C0500 = 99 or is blank or a dash value, then answer the following three questions:

From the MDS 3.0, determine if any of the following statements are true, indicating that the resident exhibits one or more of the following conditions:

1. Short-term memory (C0700) is coded "1" indicating memory problems

2. One or more memory/recall items (C0900(A-D)) are not checked, or "NONE OF ABOVE" (C0900(Z)) is checked

3. Cognitive skills for daily decision making (C1000) is coded 1, 2 or 3

If a resident has ANY of the above three conditions, go to Section VIII. Challenging Behavior. If the resident exhibits any of the five behaviors, complete the algorithm and place the resident in the applicable case-mix class and STOP. If the resident does not exhibit any of the five behaviors, return to Section VII. Impaired Cognition and complete Step 3.
Step 3. Complete the below algorithm.

If **ALL 3** of the conditions in Step 2 are true and the ADL Index Score is 6-11, the resident is in case-mix **Class 20 (Impaired Cognition B)**.

If **ALL 3** of the conditions in Step 2 are true and the ADL Index Score is 4-5, the resident is in case-mix **Class 21 (Impaired Cognition A)**.

**OR**

If the C0500 Summary Score is 0-12 and the ADL Index Score is 6-11, the resident is in case-mix **Class 20 (Impaired Cognition B)**.

If the C0500 Summary Score is 0-12 and the ADL Index Score is 4-5, the resident is in case-mix **Class 21 (Impaired Cognition A)**.

IF THE RESIDENT IS PLACED IN ONE OF THE ABOVE CLASSES 20-21, STOP. IF THE RESIDENT WAS NOT PLACED, CONTINUE TO SECTION IX.

**VIII. CHALLENGING BEHAVIOR CLASSES**

From the MDS 3.0, Section E, record the numerical code for each of the five behaviors:

1. Physical behavioral symptoms directed towards others  
   
   E0200(A)

2. Verbal behavioral symptoms directed towards others  
   
   E0200(B)

3. Other behavioral symptoms not directed towards others  
   
   E0200(C)

4. Rejection of care  
   
   E0800

5. Wandering  
   
   E0900

If **ANY** of the above 5 behaviors are coded 1 or 2, AND the ADL Index Score is 6-11, the resident is in case-mix **Class 22 (Challenging Behaviors B)**.

If **ANY** of the above 5 behaviors are coded 1 or 2, AND the ADL Index Score is 4-5, the resident is in case-mix **Class 23 (Challenging Behaviors A)**.

If **ANY** of the above 5 behaviors are coded 3, the resident is in case-mix **Class 24 (Atypically Severe Challenging Behaviors)**.
REduced Physical Functions Group

IX. Reduced Physical Functions Classes

All residents that have not been placed in another case-mix class are placed in the case-mix class REDUCED PHYSICAL FUNCTIONS.

Also, residents who exhibit characteristics described in the IMPAIRED COGNITION or CHALLENGING BEHAVIOR Group but have an ADL Index Score greater than 11 are placed in Reduced Physical Functions classes based on their ADL Index Score.

If the ADL Index Score is 16-18, the resident is in case-mix Class 25 (Reduced Physical Functions E).

If the ADL Index Score is 11-15, the resident is in case-mix Class 26 (Reduced Physical Functions D).

If the ADL Index Score is 9-10, the resident is in case-mix Class 27 (Reduced Physical Functions C).

If the ADL Index Score is 6-8, the resident is in case-mix Class 28 (Reduced Physical Functions B).

If the ADL Index Score is 4-5, the resident is in case-mix Class 29 (Reduced Physical Functions A).

ALL RESIDENTS SHOULD BE PLACED IN A CASE-MIX CLASS BY THIS POINT.
## III. APPENDICES

### APPENDIX A

<table>
<thead>
<tr>
<th>HIERARCHICAL GROUP</th>
<th>ADL INDEX SCORE</th>
<th>CASE-MIX CLASS</th>
<th>CLASS DESCRIPTION</th>
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<td>I. HIGH INTENSITY REHABILITATION</td>
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<td>15-18</td>
<td>01</td>
<td>HIGH INTENSITY REHABILITATION D</td>
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<td>II. MEDIUM INTENSITY REHABILITATION</td>
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